



OVERALL DISADVANTAGED BUSINESS ENTERPRISE (DBE) GOAL SETTING FOR THE CITY OF LOMPOC

Recipient# 1651

Period FFY 2019 to FFY 2021

Effective date: August 1, 2018

City of Lompoc
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OVERALL DBE GOAL

The City of Lompoc's overall DBE goal for the period FFY 2019-2021 is 13.8 percent of the federal financial assistance the City will expend on DOT-assisted contract opportunities exclusive of vehicle purchases.

PROGRAM OF PROJECTS

The City of Lompoc approved a program of projects for FFY 2019-2021 in March 2018. These projects include:

1. Continuation of existing contract for transit service operations and vehicle maintenance. Currently awarded to RATP Dev, this five-year contract includes two one-year options. The contract was executed on July 1, 2016 and will expire on June 30, 2021, if no options are executed.
2. Construction of new transit maintenance and operations facility with contract to be awarded in 2019.

City of Lompoc Program of Projects 2019-2021				
Project	Total budget	Federal dollars	Local dollars	Include in DBE goal
Transit Operations	\$4,800,000.	\$2,400,000.	\$2,400,000.	YES
Facility Construction	\$13,000,000.	\$5,000,000	\$8,000,000	YES
Totals	\$17,800,000.	\$7,400,000. or 41.57% of total budget	\$10,400,000. or 58.42% of total budget	

MARKET AREA

The market area for determining the DBE goal is based on the potential availability of respondents for the identified program of projects for the triennial period.

Given there are no established qualified bidders based in the immediate region for Transit Operations, the market area for this project is expanded to include all of California. Search criteria included firms willing, ready, and able to work in Caltrans District 5 (five county area) or which indicated ability to work state-wide.

The "facilities construction" market area also is comprised of Caltrans' District 5 region and those entities willing to work state-wide. This area will yield a sufficient number of ready, willing, and able entities from which to solicit competitive proposals.

METHODOLOGY FOR GOAL CALCULATION

Step 1 - Base Figure (26.45(c))

Source of Data	Contract or Sub-contract	NAICS Codes	Total Number of Entities	Number of DBE Entities	% DBE
U.S. Census Data 2012 Snapshot and U.S. Census County Business Patterns and Non-employer Statistics Combined Report , CA UCP website	City and Local Bus Services	485113	139	0	0%
U.S. Census County Business Patterns and Non-employer Statistics Combined Report , CA UCP website	General contracting	236210	420	77	18.3%
Totals			559	77	13.8%

Using the State of California Unified Certification Program (CUCP), the City searched the CUCP to identify DBE firms with the NAICS Code of 485113 – Bus and Other Motor Vehicle Transit Systems. The City also searched the U.S. Census Data Snapshot website as well as the County Business Patterns and Non-employer Statistics combined report to determine the total number of firms ready, willing and able to respond to a request for proposals. The search area included all firms willing to work in Caltrans District 5 as well as those willing to work state-wide. This research revealed an absence of certified or potential DBEs for this NAICS code.

Operations

The total number of DBE entities ready, willing, and able 0
 The total number of all firms ready, willing, and able 139 = 0% base figure

Using the State of California Unified Certification Program (CUCP), the City searched the CUCP to identify DBE firms with the NAICS Code of 236210-Industrial Building Construction. The City also searched the U.S. Census Data Snapshot website as well as the County Business Patterns and Non-employer Statistics combined report to determine the total number of firms ready, willing and able to respond to a request for proposals. The search area included all firms willing to work in Caltrans District 5. Research revealed 77 certified or potential DBEs for this NAICS code.

Capital Facilities

The total number of DBE entities ready, willing, and able 77
 The total number of all firms ready, willing, and able 420 = 18.3% base figure

To establish a base figure, the City combined the total number of ready, willing, and able DBE entities across both projects and divided it by the number of all firms that are ready, willing, and able to respond to a request for proposals. This calculation established a base DBE goal figure.

All Projects

The total number of DBE entities ready, willing, and able 77
 The total number of all firms ready, willing, and able 559 = 13.8% base figure

Step 2 - Weighted Figure (26.45(d))

The City then established a weighted goal figure using the project budget estimates for both transit operations and construction projects. The Construction project represents 73 percent of the total project budget while the Operations project represents the balance (27 percent). When weighted, the goal is reduced by less than one-half of one percent.

Total Expected Project Budget = \$17,800,000.

NAICS Code	Work Category	All Firms (A)	DBE Firms (B)	DBE firm ratio to all firms (C=A/B)	Project Budget (D)	Weighted % (E=D/Total Budget)	Weighted % Goal (C*E*100)
236210	Industrial Construction	420	77	18.3	\$13,000,000.	73%	13.35
485113	Transit Operations	139	0	0	\$4,800,000.	27%	0
Total							13.35%

Project documentation indicates an absence of participation in bidding opportunities by DBEs for the past three years. Likewise, the City of Lompoc has not had many projects out for bid during that same time period.

One exception was an RFP for Transit Operations Services in 2016 that yielded one certified DBE which was awarded the current contract. That firm, Roadrunner Management Services, was recently purchased by a non-certified organization, thereby reducing the pool of potential DBE participants.

A review of the FTA website revealed no recent disparity studies relevant to the City of Lompoc or the surrounding region. Additionally, a search for disparity studies among the Santa Barbara County Association of Governments, City of Santa Maria, Santa Barbara Metropolitan Transit District, and County of Santa Barbara revealed no studies available for comparison.

Given the modest difference between the base figure and the weighted figure, the City of Lompoc will maintain the 13.8% base figure as its goal.

Given the Construction project is new to this triennial period and not reflected in previous DBE goals, the base figure may be recalculated upon completion of the project as its inclusion significantly increase the goal when compared with previous goals for the City of Lompoc.

PUBLIC PARTICIPATION

The following published Notice will inform the public of the proposed overall goal and its rationale. The DBE Goal will be available for inspection for 30 calendar days following the date of publication of the Notice. The Notice will also inform the public the City of Lompoc and the Federal Transit Administration will accept comments on the goals for 45 calendar days from the date of the publication of the Notice. The public Notice will include the FTA Regional Civil Rights Officer's business address in addition to the City's point of contact information.

The City will publish its goal information in the following publications: City website, general circulation media, available minority-focused media, and trade association publications.

The City will document and summarize received comments from individuals or organizations and all responses to comments received.

PUBLIC NOTICE CITY OF LOMPOC TRANSIT LOMPOC, CALIFORNIA

FTA Disadvantaged Business Enterprise (DBE) Goal from 2019-2021

In accordance with requirements of the U.S. Department of Transportation as set forth in 49 CFR Part 26, as amended, the City of Lompoc (Lompoc Transit), hereby notify the public it is recommending the following Disadvantaged Business Enterprise (DBE) goal for applicable professional services, construction, and other prime contracting opportunities during FFY 2019-2021, beginning October 1, 2019 and ending September 30, 2021. The overall DBE goal for FFY 2019-2021 is 13.8 percent. Information pertaining to this goal and description of how it was selected is available for inspection from 8:00 a.m. to 5:00 p.m. local time at the City of Lompoc Transit office located at 1300 W. Laurel Ave., Lompoc, CA. The information will be available for 30 days following the date of publication of this notice. Written comments on this goal will be accepted for 45 calendar days from the date of the publication of this notice. The comments are for informational purposes only and may be sent to the DBE Officer, 1300 W. Laurel Ave. Lompoc, CA 93436, and to the Regional Civil Rights Officer, Federal Transit Administration, Region 9, San Francisco Federal Building, 90 Seventh Street, Suite 15-300, San Francisco, CA 94103.

RACE NEUTRAL/RACE CONCIIOUS PARTICIPATION

The City of Lompoc Transit will strive to maximize goal attainment using race-neutral means. Given limited data availability regarding past DBE participation, and given the City's past program of projects has not included construction projects, it is not possible to determine whether race-neutral methods will be effective in meeting program goals. Until such time as a benchmark of DBE participation can be established, particularly for construction projects, all contracts need to be negotiated, to the extent possible, with race-neutral goals.

The City estimates, given the availability of DBE certified entities in the construction field, that it can meet the 13.8 percent goal using race-neutral means. To assure goal achievement, the City will evaluate and consider race-conscious measures and elements should the City not meet its goals through race-neutral participation. The City will adjust the estimated breakout of race-neutral and race-conscious participation as needed to reflect actual DBE participation and will track and report race-neutral and race-conscious participation separately.

For reporting purposes, the race-neutral DBE participation includes, but is not limited to, the following:

- Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitate participation by DBEs and other small businesses and by making contracts more accessible to small businesses;
- Providing opportunities for pre-bid questions and technical assistance; and
- Using California UCP lists when communicating proposal opportunities.