

# **City of Lompoc**

# **CAPER**

## **Consolidated Annual Performance and Evaluation Report**

**Fiscal Year 2012-2013  
(July 1, 2012-June 30, 2013)  
Public Review Draft**



Council Agenda Public Hearing: September 17, 2013

**City of Lompoc**  
**FY 2012-2013 Consolidated Annual Performance & Evaluation Report**

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4. IDIS Reports **(to be inserted in final CAPER)**



Program Year 2012  
CAPER  
FY 2012-2013



**Executive Summary**

Lompoc's Consolidated Annual Performance and Evaluation Report (CAPER) summarizes accomplishments made using federal Community Development Block Grant (CDBG), and local Human Services funds during CDBG Program Year (PY) 2012, Fiscal Year (FY) 2012-2013, which covers the period of July 1, 2012, through June 30, 2013. *Lompoc's CAPER report does not include Lompoc activities funded with Lompoc CDBG and HOME entitlement funds through the Santa Barbara County Urban CDBG Consortium or the HOME Consortium, however some projects and Human Services funding is referenced.* Those activities are reported in the Urban Consortium's PY 2012 CAPER. Highlights of Lompoc's FY 2012-2013 activities include:

- The City Council, through recommendations from the Human Services Commission, allocated \$55,000 in Human Service Donation Funds; \$58,246 in FY 2012-2013 CDBG Urban Consortium Funds; and \$23,754 in CDBG program income, for a total of \$137,000. An additional \$165 in prior year CDBG program income was also added to the allocation, bringing the total to \$137,165 in CDBG/Human Service Program Funds to assist 24 social service programs. The CDBG Program provides staffing support to the Human Services Commission, which meets the first Monday of the month at City Hall;
- The completion of the third year of a three-year Consortium Agreement for the purpose of receiving federal CDBG funds for FY 2010-2011, 2011-2012, 2012-2013;
- Program staff assisted the City's housing activities by providing housing rehabilitation loan counseling, loan processing and servicing, inspections, ordering of lead-based paint inspections and remediation work, as needed. The City's total CDBG loan portfolio consists of 58 loans, with a principal balance of \$3,260,411. Throughout the 2012 program year, staff promoted the Housing Rehabilitation Loan Program and directly assisted 28 interested program applicants. However, of those 28 interested applicants, only two (2) chose to proceed with the application process. These two (2) loan applications were approved in July 2013, and will be reported next period;
- Investigation and follow-up of 330 new Code Enforcement cases in the low- and moderate-income (LMI) census tract areas and the closing and resolution of 308 cases;
- Completion of the Urban Futures, Inc. report on a loan and monitoring compliance audit of previous City-funded Lompoc Housing and Community Development Corporation (LHCDC) projects;
- The City's Economic and Community Development staff assisted in the opening of eight (8) new businesses, which represent approximately 228 local full- and part-time jobs created in the community. While no new Economic Development loans were made,

staff worked with many business prospects and provided applications and referrals to the Small Business Development Center. Staff also worked to: promote business development in partnership with the City's 18-member Economic Development Committee; implement the City's Economic Development Strategic Plan; provide public outreach through presentations at Chamber of Commerce events and local service clubs; and actively seek out and participate in regional partnership opportunities;

- The CDBG/HOME program has a total of \$686,662 in federal HOME funds, which is included in the County's federal HOME commitment of \$1,578,133 to the Housing Authority's Santa Rita Village Apartments project, with an additional \$1 Million in Redevelopment housing funds and \$100,000 in Redevelopment CalHFA Help loan funds dispersed to the project. That 55-unit affordable, one-, two-, three-, and four-bedroom rental housing development will support large LMI families in the Lompoc community; and
- Construction was completed in May 2013 on the Cypress Court Senior Apartments. A 60-unit affordable, one- and two-bedroom rental housing development for seniors and persons with disabilities. The City assisted that project with \$500,000 in federal HOME funds, in addition to \$1.1 Million in former Redevelopment housing funds and \$200,000 in former Redevelopment CalHFA Help funds to the Pacific West Communities, Inc. Cypress Court Project, which was also awarded Low-Income Housing Tax Credit Allocation (LIHTC) by the California Tax Allocation Committee (CTAC).

Financial Summary

The following CDBG Program Income Funds (Fund 43) were available for use during the reporting period:

**Table 1-1 Financial Summary**

Unexpended CDBG Program Income Funds at the end of June 30, 2012 (last FY)*	\$ 1,302,895
Program Income earned in FY 2012-2013	\$ 182,704
Total CDBG Program Income Funds available July 1, 2012 through June 30, 2013	\$ 1,485,599
Total FY 2012-2013 Program Year Program Income Fund Expenditures	\$ 301,434
Unexpended balance June 30, 2013	\$ 1,184,165

\*Beginning Balance adjusted by \$35,942 to reflect a reversal of Santa Barbara County program income received by the City in 2011, and credited back to the County in the current reporting year.

One hundred percent (100%) of Lompoc's project expenditures (excluding administrative expenses) benefited LMI persons, households, or areas of the City.

**General Questions**

1. Assessment of the five-year goals and objectives:
  - a. Describe the accomplishments in attaining the goals and objectives for the reporting period.
  - b. Provide a breakdown of the CPD formula grant funds spent on grant activities for each goal and objective.

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- c. If applicable, explain why progress was not made towards meeting the goals and objectives.
  2. Describe the manner in which the recipient would change its program as a result of its experiences.
  3. Affirmatively Furthering Fair Housing:
    - a. Provide a summary of impediments to fair housing choice.
    - b. Identify actions taken to overcome effects of impediments identified.
  4. Describe Other Actions in Strategic Plan or Action Plan taken to address obstacles to meeting underserved needs.
  5. Leveraging Resources
    - a. Identify progress in obtaining "other" public and private resources to address needs.
    - b. How Federal resources from HUD leveraged other public and private resources.
    - c. How matching requirements were satisfied.

**CAPER General Questions response:**

**Assessment of one-year goals & objectives**

The City's FY 2012-2013 Community Development Block Grant (CDBG) Program addressed several Community Objectives identified in the Urban Consortium Strategic Plan to provide decent affordable housing. The following tables contain the accomplishments of the CDBG Program for Program Year 2012:

2012-2013 City of Lompoc CDBG Housing Accomplishments Table I-2

Retaining the Affordable Housing Stock							
Project	Strategic Plan Specific Objective	Priority Need	5 Year Goal	2012 CDBG Funds Expended	Annual Accomplishment	5 Year Accomplishment	Outcome Statement
Housing Rehabilitation Loan Program • IDIS # 561, # 563, # 564	• Provide low cost loans to low-income homeowners for repairs to, and to remove architectural barriers in their homes.	High	Rehabilitate 20 Units	\$32,070	3 Units Rehabilitated	8 Units Rehabilitated	• Sustainability and accessibility for the purpose of creating decent affordable housing
Housing Rehabilitation Loan Program Delivery Costs • IDIS # 570	• Assist housing activities by providing housing Rehabilitation loan counseling, preparation of loan documents for homeowners, loan processing, inspections, ordering of lead-based paint inspections and remediation work, as needed.	High	Administer 20 new Rehabilitation and/or Housing Loans	\$132,605	Initiated 2 new Rehabilitation loans	9 Units Initiated Rehabilitation	• Sustainability and accessibility for the purpose of creating decent affordable housing

2012-2013 City of Lompoc CDBG Public Service and Public Facility Accomplishments Table I-3

Create Suitable Living Environments							
Project	Strategic Plan Specific Objective	Priority Need	5 Year Goal	2012 CDBG Funds Expended	Annual Accomplishment	5 Year Accomplishment	Outcome Statement
Legal Aid of Santa Barbara County Fair Housing • IDIS # 569	Promote and provide services that prevent discrimination and eliminate barriers to housing.	High	195 persons	\$6,603	83 persons were assisted with Fair Housing Services.	248 Persons	Availability/Accessibility for the purpose of creating suitable living environments.
Family Service Agency Family Resource Center • IDIS # 568	Support public service programs for low- and moderate-income households, including programs for housing and other community development needs.	High	180 persons	\$3,804	80 persons assisted with services and training.	4,371 Persons	Availability/Accessibility for the purpose of creating suitable living environments.
Marks House Renovations • IDIS # 576	Support public services that assist persons with special needs. Provide assistance to low income neighborhoods by funding critical community facilities.	High	2 Facilities	\$905	0 Facilities - Rehabilitation Underway.	0 Facilities	Availability/Accessibility for the purpose of creating suitable living environments.
Family Service Agency Big Brothers & Big Sisters • IDIS # 574	Support public service programs for low- and moderate-income households, including programs for housing and other community development needs.	High	286 persons	\$2,000	130 Children were served.	130 Persons	Availability/Accessibility for the purpose of creating suitable living environments.
Good Samaritan Marks House • IDIS # 562	Provide funding for essential services and programs that provide needed resources for homeless persons.	High	205 persons	\$165	Accomplishments Reported in Prior Year were assisted with shelter and services.	116 Persons	Availability/Accessibility for the purpose of creating suitable living environments.
City of Lompoc Parks & Rec Summer Drop In • IDIS # 567	Support public service programs for low- and moderate-income households, including programs for housing and other community development needs.	High	165 persons	\$4,650	70 children assisted with summer program.	246 Persons	Availability/Accessibility for the purpose of creating suitable living environments.
Community Partners in Caring Faith in Action Program • IDIS # 572	Support public service programs for low- and moderate-income households, including programs for housing and other community development needs.	High	617 persons	\$3,300	218 Seniors or Disabled Persons Were Served.	494 Persons	Availability/Accessibility for the purpose of creating suitable living environments.
Lompoc Public Library Roofing Project • IDIS # 565	Provide assistance to low-income neighborhoods by funding critical community facilities.	High	2 Facilities	\$75,551	0 Facilities - Rehabilitation Underway.	0 Facilities	Availability/Accessibility for the purpose of creating suitable living environments.
Community Action Commission Senior Nutrition • IDIS # 573	Support public service programs for low- and moderate-income households including programs for housing and other community development needs.	High	577 persons	\$10,000	146 Senior Persons were Served.	371 Persons	Availability/Accessibility for the purpose of creating suitable living environments.

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The previous tables summarize the annual accomplishments during the past year, in addition to the five-year goals.

In FY 2012-2013, the City's Economic and Community Development staff assisted in the opening of eight new businesses representing 228 local full- and part-time jobs created in the community. While no new Economic Development loans were made, staff worked with many business prospects and provided applications and referrals to the Small Business Development Center. Staff also worked to: promote business development in partnership with the City's 18-member Economic Development Committee; implement the City's Economic Development Strategic Plan; provide public outreach through presentations at Chamber of Commerce events and local service clubs; and actively seek out and participate in regional partnership opportunities.

Additionally, in order to address the rehabilitation needs of the City's aging housing stock, the CDBG Program continues to provide CDBG loan funds as available to qualified applicants through the Single Family Rehabilitation Loan Program.

In order to identify and address the life, safety and livability standards for those homes most in need of rehabilitation, which are located in the City's LMI areas, the City continued to support its Code Enforcement in FY 2012-2013 with an allocation of \$131,020 in CDBG funding.

### **Affirmatively Furthering Fair Housing**

The City affirmatively furthered fair housing through a \$5,775 annual contract with the Legal Aid Foundation of Santa Barbara County, which carries out the City's Fair Housing Program. In addition to the annual contract amount, the City funded \$828 in CDBG prior year program income to the Legal Aid Foundation. The Legal Aid Foundation's 2012-2013 Annual Report on Fair Housing Education, Testing and Resolution is attached to this CAPER.

### **Other Actions Which Address Underserved Needs**

In FY 2012-2013, the City carried out the following activities, which addressed Underserved Needs:

1. Worked with the Housing Authority and Pacific West Developers on the development and completion of 39 out of 55 and 60, respectively, new affordable housing units in Lompoc;
2. Worked with HUD, the Housing Authority of the County of Santa Barbara, Good Samaritan Services, Inc., People's Self Help Housing and is always willing to work with any additional organizations that demonstrate capacity;
3. Provided staffing and organizational support to the City's five (5) member Human Services Commission, which meets the first Monday of each month. In FY 2012-2013, the Human Services Commission recommended, and the City Council approved, the allocation of \$55,000 in Lompoc Human Services Funds;
4. Continued to work with Good Samaritan Shelter, Inc. (the shelter service provider for the Marks House Homeless Shelter), to improve the condition of the facilities through renovation activities.

### **Leveraging Resources**

For every project where the City provided CDBG or other public funding, the City was able to leverage those resources with other private funds and donations. A leverage chart (Table VI-7) is an attachment to this CAPER.

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## Managing the Process

1. Describe actions taken during the last year to ensure compliance with program and comprehensive planning requirements.

CAPER Managing the Process response:

Several actions were taken to ensure compliance with CDBG Program and comprehensive planning requirements:

- Maintained financial and client beneficiary records on accomplishment information;
- Held 19 City Council meetings to discuss the CDBG budget, public service allocations, compliance and annual accomplishments under the CDBG program;
- Ensured public participation with one public hearing this past year;
- Enabled community participation of grant allocation and grantee monitoring provided by the City's five-member Human Services Commission;
- Prepared Environmental Review Records (ERR) for each project listed on pages 5 and 28, performed noticing and preparation of FONSI and RROF when required;
- Worked cooperatively with other City departments, non-profit agencies, the Housing Authority for the County of Santa Barbara, the County's Housing and Community Development Department, HUD's Los Angeles office and other governmental offices; and
- Ensured that all City contracts include required federal HUD language covering General Conditions, Administrative Requirements, Personnel Clauses and Participant Conditions.

## Citizen Participation

1. Provide a summary of citizen comments.
2. In addition, the performance report provided to citizens must identify the Federal funds made available for furthering the objectives of the Consolidated Plan. For each formula grant program, the grantee shall identify the total amount of funds available (including estimated program income), the total amount of funds committed during the reporting period, the total amount expended during the reporting period, and the geographic distribution and location of expenditures. Jurisdictions are encouraged to include maps in describing the geographic distribution and location of investment (including areas of minority concentration). The geographic distribution and expenditure requirement may also be satisfied by specifying the census tracts where expenditures were concentrated.

\*Please note all Citizen Comments and Responses received will be included as additional files within the CPMP Tool.

CAPER Citizen Participation response:

The availability of the 2012-2013 CAPER for public comment and review was publicly noticed in the Lompoc Record on September 11, 2013. The 15-day public review period began on September 12 and concluded on September 27, 2013. The City held a publicly-noticed City Council meeting on September 17, 2013, to review the CAPER. *Public comments will be collected and inserted into the final document.*

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Copies of the CAPER were available for review at the Lompoc City Hall, 100 Civic Center Plaza, and the Public Library, 501 East North Avenue. A copy of the CAPER is also available on the City web site at <http://www.cityoflompoc.com>. Several maps included in this CAPER show the geographic distribution and location of expenditures.

### **Institutional Structure**

1. Describe actions taken during the last year to overcome gaps in institutional structures and enhance coordination.

CAPER Institutional Structure response:

- The City and County continued their collaboration through joint participation in the Santa Barbara County HOME Consortium and Urban County Partnership.
- The City works with several institutional partners, including the Housing Authority of the County of Santa Barbara and the Housing and Community Development Department of the County of Santa Barbara.
- The City has provided a total of \$1,635,000 in Lompoc Affordable Housing Trust Fund (LAHTF) assistance; \$686,662 in federal HOME funds, which is included in the County's federal HOME commitment of \$1,578,133 to the Housing Authority's Santa Rita Village Apartments Project; and additional Redevelopment funding of \$1 Million in Redevelopment housing funds and \$100,000 in Redevelopment CalHFA Help loan funds dispersed to the project. In 2011, the City also prepared letters of support for the project to be awarded Low Income Housing Tax Credit Allocation (LIHTC) by the California Tax Allocation Committee (CTAC), which was successful. That 55-unit affordable housing project was phased. Phase one completed 36 units in December 2012, and the remaining 19 units are to be completed within the near future. The development consists of one-, two-, three-, and four-bedroom affordable rental housing, which will assist varied-sized households, including large low-income families.
- The City also has \$500,000 in federal HOME funds, in addition to \$1.1 million in Redevelopment funds and \$200,000 in CalHFA HELP funds, to the Pacific West Communities, Inc. Cypress Court Project, which was also awarded Low Income Housing Tax Credit Allocation (LIHTC) by the California Tax Allocation Committee (CTAC). The affordable housing development consists of a 60-unit affordable one and two bedroom rental housing development for seniors and persons with disabilities.
- In FY 2012-2013, the City Council followed recommendations from the Human Services Commission and allocated \$55,000 in Human Services Donation Funds, \$58,246 in FY 2012-2013 CDBG Urban Consortium funds, and \$23,754 in CDBG program income, for a total of \$137,000. An additional \$165 in prior year CDBG program income was also added to the allocation, bringing the total to \$137,165 in CDBG/Human Services Program Funds to assist 24 social service programs. The CDBG Program provides staffing support to the Human Services Commission, which meets the first Monday of the month at City Hall.
- The City received a report from Urban Futures, Inc., which provided a loan and monitoring compliance audit of LHCD projects with City funding and findings from this audit are being used in the development of a City policy that Council has directed staff to develop to help minimize the risks associated with City funding in projects.

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## Monitoring

Describe how and the frequency with which you monitored your activities.

1. Describe the results of your monitoring including any improvements.
2. Self Evaluation
  - a. Describe the effect programs have in solving neighborhood and community problems.
  - b. Describe progress in meeting priority needs and specific objectives and help make community's vision of the future a reality.
  - c. Describe how you provided decent housing and a suitable living environment and expanded economic opportunity principally for low and moderate-income persons.
  - d. Indicate any activities falling behind schedule.
  - e. Describe how activities and strategies made an impact on identified needs.
  - f. Identify indicators that would best describe the results.
  - g. Identify barriers that had a negative impact on fulfilling the strategies and overall vision.
  - h. Identify whether major goals are on target and discuss reasons for those that are not on target.
  - i. Identify any adjustments or improvements to strategies and activities that might meet your needs more effectively.

CAPER Monitoring response:

This past year the City of Lompoc conducted the following monitoring:

- The City monitored CDBG-funded public service agencies during the year using a written site visit tool and visiting the program site with the Lompoc Human Services Commission. Follow-up letters were written to the agencies visited and included a summary of observations and results of the City's monitoring. Public service agencies that were not visited were agencies that were visited the previous year and did not have any changes in their program or key personnel. The Lompoc Human Services Commission continued to conduct site visits at each agency granted funds. If an agency has changes in a program or in key personnel, then that agency will then be visited the same year the change occurs. Annually, all agencies requesting funding are scheduled for a formal interview at City Hall regarding their program and are monitored throughout the fiscal year through the agency's submittal of the required Request for Payments, with documentation and Accomplishment Data Forms.
- The County Consortium, as lead agency for City CDBG and HOME programs, provided external, visual inspection monitoring of several affordable housing developments that had received loan assistance from the City. The City's former Redevelopment/Successor Agency provided external, visual inspection monitoring of affordable housing properties improved with both CDBG and other affordable housing funds.
- The City reported to HUD, on the Semi-Annual Labor Standards Enforcement Report - Local Contracting Agencies (HUD Form 4710) for the period April 1, 2012, to September 30, 2012, there was no contracting activity to report. The City also reported to HUD, on Semi-Annual Labor Standards Enforcement Report - Local Contracting Agencies (HUD Form 4710) for the period October 1, 2012, to March 31, 2013, two contracting activities with Project #FY-12-S-5, "CDBG Sidewalk Improvement Project," Wage Decision Number CA 120023, with a Wage Decision Lock-in Date of November 14, 2012, in the Contract Amount of \$24,485 and Project 451 P404513 "JM Park Baseball Field Project" Wage Decision Number CA130023 with a Wage Decision Lock-in Date of January 4, 2013.

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- As part of the CDBG Sidewalk Improvement Project, the City monitored compliance with Davis Bacon prevailing wage, Section 3, Affirmative Action, Minority and Women Businesses Enterprise regulations, and other federal regulations. Staff ensured federal regulatory language was included in all bid documents and construction contracts, with prime- and sub-contractors.
  - As part of the JM Ballfield Improvements Project, completed in March 2013, and the CDBG Sidewalk Improvement Project, the City monitored compliance with Davis Bacon prevailing wage, Section 3, Affirmative Action, Minority and Women Businesses Enterprise regulations, and other federal regulations. During the project, inception staff ensured that federal regulatory language was included in all bid documents and construction contracts with prime- and sub-contractors.
  - The City's consultant, Urban Futures, Inc, provided a loan and monitoring compliance audit on City-funded LHDCDC projects. The results of the audit are being used to assist the City in developing better policies and procedures that will minimize future risks associated with City-funded projects and programs.

Upon accepting the title to the Marks House Homeless Shelter from LHDCDC, the City did an inspection of the facility and a lead-based paint test was conducted. The test concluded the house was habitable and lead-based paint should be abated on the exterior of the Marks House. FY 2012-2013 CDBG funding has been allocated by the City for the abatement and re-painting of the Marks House, it has received approval from the County and the bid has been awarded for this project. The City has also allocated an additional \$70,679 of CDBG funds for the rehabilitation and renovation of all structures located on the Marks House property. Work on this project will commence upon completion of the lead abatement and re-painting.

Additional information on the City's participation on the Marks House Lead Abatement Project can be found in the County of Santa Barbara's 2012-2013 CAPER.

## **Self Evaluation**

Improvements to public infrastructure, such as ADA sidewalk improvements, housing rehabilitation revolving loans, and Code Enforcement efforts in the low- and moderate-income census tracts to address health and safety and habitability issues in Lompoc's neighborhoods have helped to move Lompoc closer to its vision. The City's various CDBG programs have helped to address such community issues as lack of services, homelessness, affordable housing, neighborhood deterioration, and architectural barriers for persons with disabilities. While much still remains to be done, the results obtained from CDBG funded efforts are evident throughout the community, such as those which have been discussed in the CAPER.

This CAPER also discusses how the City has provided and facilitated decent housing and a suitable living environment and expanded economic opportunity for low- and moderate-income persons. Those activities are summarized in the tables on pages 4 and 5. Although the City did not issue any new economic development loans, the City has created economic opportunities for local businesses. In 2012-2013, the City's efforts assisted eight new businesses in opening, resulting in the creation of 228 local full- and part-time jobs in the community.

- Indicate activities falling behind schedule: the Marks House lead-based paint abatement activities have fallen behind schedule due to the need to re-bid, based on a challenge by one of the bidders. The Project bidding processes have been completed and the bid has been awarded. It is anticipated this project will be completed by Fall 2013. Additional information on the City's Urban Partnership participation on the Marks House Lead-Based Paint Abatement Project can be found in the County of Santa Barbara's 2012-2013 CAPER.

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Various Parks' Restroom ADA Improvements Project, which received \$37,800 in initial funding had fallen behind schedule in FY 2011-2012. The project was completed February 14, 2013. Additionally, the Council approved \$20,000 in CDBG funding on July 17, 2012, for additional Park Restroom ADA Improvements, which is currently underway and should complete in the next fiscal year.

Resources have been re-prioritized, as needed, to meet emergent needs, such as the reprogramming of funds dedicated to the Public Library Capital Improvements Flooring Project to the Library Re-roofing Project, which was approved by City Council in FY 2012-2013. Bids closed for the re-roofing project on June 4, 2013. Five consulting contracts were awarded for the purpose of: design work, asbestos surveying, asbestos abatement monitoring, roof construction, and management oversight, totaling \$555,853. Full funding for this project is currently available and work began the week of August 19, 2013, with a completion date estimated by the end of October 2013.

As reported in the FY 2011-2012 CAPER, the budget for the Charlotte's Web Children's Library Project has had numerous funding challenges. The loss of Redevelopment funding was a major blow to the Charlotte's Web project. The Successor Agency to the dissolved Lompoc Redevelopment Agency's Oversight Board disallowed the previous Redevelopment Agency's commitment of Bond funding to the project of \$708,000, as well as the \$350,000 in previously identified funding the Agency had budgeted toward the project. The loss of Redevelopment funding continues to impact the City's CDBG/HOME funded projects and activities. In July 2012, an alternative to a permanent building was discussed by the City Library Board of Trustees and this alternative is a book mobile. On November 29, 2012, City Council voted to move forward with staff's recommendation to purchase a book mobile. Approval of the MOU with the chosen consulting firm is expected later in 2013 and the design and manufacturing of the book mobile will start at that time. Project-related issues, such as vehicle storage and the disposition of the original building property site are currently being discussed and subsequently will be presented to City Council in the Fall of 2013.

City Council had directed staff to work with HUD to repay the \$140,000 loan made to LHCD, because LHCD did not complete the project at College and T Street, according to the timeline set. By agreement with HUD, an initial payment of \$35,322 was submitted on May 11, 2012. A second payment was made on June 25, 2013, in the amount of \$35,000. The remaining amount due of \$70,000 will be paid equally during FY 2013-2014 and FY 2014-2015, unless HUD acknowledges, in writing, HUD's suggestion the remaining funds be returned to the City's CDBG account for future project use.

Activities have made an impact on identified need, as demonstrated in statistics on houses rehabilitated, persons and households provided services, and the improvement of public infrastructure. Additional detail on the improvement of public infrastructure is discussed in the County's Urban Consortium FY 2012-2013 CAPER, under the Federal Stimulus American Recovery and Restoration Act (ARRA) funding and capital development discussion.

Barriers that have had a negative impact on fulfilling the strategies and overall vision include a lack of resources, in comparison to the magnitude of need and the challenges to the capacity of community partners, such as non-profit organizations, and even the City. The issues associated with the dissolution of the Lompoc Redevelopment Agency and LHCD remain a challenge in staff's ability to carry out certain projects. Yet, in spite of the challenges, the City of Lompoc's CDBG Program has achieved the positive results discussed in this report.

With respect to identifying any adjustments or improvements to strategies and activities that might meet the City's needs more effectively, the City is working on the development of a financial and

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monitoring compliance policy. The City is committed to working closely with all community partners, the State, the County, other local jurisdictions, the business, educational, and faith-based communities, the Vandenberg Air Force Base community, and other relevant partners in developing local and regional solutions to community development issues.

In this fiscal year, the City hired a Community Development Program Manager responsible for the management of a variety of grant programs, including CDBG, HOME, CHRP-O, and RHCP. City Council and administration continue to make economic development the City's top priority and have implemented steps from the guidance provided in the California Association for Local Economic Development (CALED) Professional Advisory Service and the Economic Development Committee's Strategic Plan, in order to improve economic opportunities for Lompoc businesses and residents, including LMI households.

### **Lead-based Paint**

1. Describe actions taken during the last year to evaluate and reduce lead-based paint hazards.

CAPER Lead-based Paint response:

The City's efforts continue to comply with the lead-based paint conditions of the exterior of the Marks House Homeless Shelter. The Marks House lead abatement activities have fallen behind schedule due to the need to re-bid the project based on a challenge by one of the bidders. The Project bidding processes are complete and the bid will be awarded after the close of this report. It is anticipated this project will be completed by late Fall 2013. Based on studies performed by both Sigma Engineering and the Tabbara Corporation, the lead-based paint conditions and laboratory results indicated no lead hazards were identified at either single family site. There was hazardous lead-based paint discovered with lead in excess of 1.0 milligrams per square centimeter on the exterior property of the Marks House Homeless Shelter. The Lompoc City Council approved \$50,000 to address and complete the lead-based paint abatement and repainting of the Marks House in the 2012-2013 program year, which will be completed in the next reporting period.

- Additional information on the City's Urban County participation on the Marks House Lead Abatement Project can be found in the County of Santa Barbara's 2012-2013 CAPER.

### **Housing Needs**

\*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. Describe Actions taken during the last year to foster and maintain affordable housing.

CAPER Housing Needs response:

Lompoc has worked to both foster and maintain affordable housing. The following provides an overview of affordable housing activity undertaken by the Lompoc CDBG Program this past year:

**Housing Needs Response Table II-4**

<b>Project Name</b>	<b>Action Taken</b>	<b>PY 2012 Accomplishments</b>
Marks House Homeless Shelter	The City has provided Good Samaritan Services, Inc. (as the shelter services provider), for the Marks House, \$25,000 in CDBG funding to Good Samaritan for program services. The City worked in partnership with the City's Parks and Recreation Divisions, Good Samaritan, and the Community Action Commission Youth Corps to clear the shelter property of debris and other safety hazards to ensure decent, safe and sanitary housing to all its residents.	Provided housing for up to 19 clients who are assisted in their transition into permanent housing
Santa Rita Village Family Apartments, 55- affordable units development by the Housing Authority of Santa Barbara County (HASBC)	In 2011, project applied for and was awarded low income housing tax credits (LIHTC).	The City has provided federal HOME, Lompoc Affordable Housing Trust fund, and RDA funding to assist in project development. The first phase of 36 one- (1), two- (2), three- (3), and four- (4) bedroom rental units was completed in December 2012, with the remaining 19 units projected to be completed in Phase II at a future date.
Cypress Courts Senior Apartments, a 60 unit affordable one- (1) and two- (2) bedroom rental housing development for seniors and persons with disabilities by Pacific West and Surf Development.	In 2011, project applied for and was awarded low income housing tax credits (LIHTC).	The City has provided federal HOME, as well as RDA funding to assist in project development. Construction of the 60 unit project completed in May 2013.

**Specific Housing Objectives**

1. Evaluate progress in meeting specific objective of providing affordable housing, including the number of extremely low-income, low-income, and moderate-income renter and owner households comparing actual accomplishments with proposed goals during the reporting period.
2. Evaluate progress in providing affordable housing that meets the Section 215 definition of affordable housing for rental and owner households comparing actual accomplishments with proposed goals during the reporting period.
3. Describe efforts to address "worst-case" housing needs and housing needs of persons with disabilities.

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CAPER Specific Housing Objectives response:

#### Affordable Housing Evaluation

The City's CDBG staff assisted the City's housing activities by providing housing rehabilitation loan counseling, preparation of loan documents for homeowners, loan processing, inspections, ordering of lead-based paint inspections and remediation work as needed, for the Single Family Rehabilitation Revolving Loan Fund Program. The total loan portfolio of the City's CDBG/HOME Program consists of 58 loans, with a principal balance of \$3,260,411. Throughout the 2012-2013 program year, staff promoted the program and directly assisted 28 interested program applicants. However, of those 28 interested applicants, only two chose to proceed with the application process. Those two loan applications were able to be approved in July 2013.

#### Assessment of Housing Goals

The implementation of the County of Santa Barbara 2010-2015 Consolidated Plan resulted in the FY 2012-2013 development of both the Santa Rita Village (36 units in Phase I of a development of 55 planned units), and Cypress Court Senior Apartments (60 units), which are two new affordable housing developments in Lompoc. Given limited resources, the City continues to make significant progress toward its Housing Goals.

#### "Worst case housing needs"

"Worst case housing needs" was met on a recent CDBG-funded Code Enforcement complaint. The City was contacted by a rental tenant who complained of sub-standard living conditions. During the site visit, numerous violations were found that needed immediate attention: excessive hazardous mold, poor or no drainage from the sinks and toilet, and water leaking from the upstairs unit. Through the efforts of the Senior Code Enforcement Officer and the City's Building Inspector, the violations were corrected and the unit was made habitable.

The City supported and provided financial assistance to the following homeless shelter programs:

- Domestic Violence Solutions, Lompoc Shelter is a nine (9) bed emergency shelter for women and their children fleeing domestic violence (\$8,000); and
- Marks House, a 19-bed transitional housing shelter for families, appointed to Good Samaritan for operation (\$25,000).

#### **Public Housing Strategy**

1. Describe actions taken during the last year to improve public housing and resident initiatives.

Public Housing Strategy response:

The City continued to work collaboratively with the Housing Authority of the County of Santa Barbara. As indicated earlier in this CAPER, the City worked with the Housing Authority on the development and completion of 36 of 55 total units at the Santa Rita Village Apartments, a new affordable housing project located at 815 West Ocean Avenue and Pacific West Communities, Inc., on the development and completion of Cypress Court, consisting of 60 new affordable senior housing units located at 1420 East Ocean Avenue. The two projects will improve services and resources in those low-income areas of the City. The Housing Authority's Five-Year Plan and Annual Plan are on its website at [www.hasbarco.org](http://www.hasbarco.org).

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## **Barriers to Affordable Housing**

1. Describe actions taken during the last year to eliminate barriers to affordable housing.

CAPER Barriers to Affordable Housing response:

The City carried out the following actions to eliminate barriers to affordable housing:

1. Continued participation in the Santa Barbara County Urban County Partnership and HOME Consortium in order to receive federal funds for affordable housing projects in Lompoc;
2. Continued state density bonus provision in the City's zoning ordinance;
3. Continued a housing in-lieu fee program for the creation of an 'affordable housing' trust fund to provide zero percent construction funding to assist the development of affordable units and the 2010 allocation of Housing Trust Funds to the Cypress Court and Santa Rita Village Apartment projects in Lompoc;
4. Prior to the dissolution of redevelopment agencies, funds were available to affordable housing developers (private and non-profit) through the former Redevelopment Agency's Affordable Housing Set-Aside Fund and was promoted;
5. Continued City Inclusionary Housing Program, which requires 10 percent affordable housing in developments of 10 or more units, and a 15 percent requirement in developments of 10 or more units must be made affordable in the City's Redevelopment Area;
6. Continued providing owner-occupied loans through CDBG programs;
7. Contracted with the Legal Aid Foundation of Santa Barbara to conduct fair housing testing and education in Lompoc in FY 2012-2013;
8. Worked cooperatively with the Housing Authority of the County of Santa Barbara (HACSB) in the implementation of the Section 8 Program in Lompoc and in the development and completion of 36 units in Phase I of the Santa Rita Village Apartment units, a 55-unit development on West Ocean Avenue; and
9. Worked cooperatively with Pacific West Communities, Inc., in the development and completion of Cypress Court senior residential units, a 60-unit development on East Ocean Avenue.

## **HOME/American Dream Down Payment Initiative (ADDI)**

1. Assessment of Relationship of HOME Funds to Goals and Objectives
  - a. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.
2. HOME Match Report
  - a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan program year.
3. HOME MBE and WBE Report
  - a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).

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#### 4. Assessments

- a. Detail results of on-site inspections of rental housing.
- b. Describe the HOME jurisdiction's affirmative marketing actions.
- c. Describe outreach to minority and women-owned businesses.

CAPER HOME/ADDI response:

The City does not receive ADDI Funds. The City's use of prior fiscal year HOME Funds was previously discussed in the Housing Sections of the CAPER. The City still participates in a HOME Consortium with the County of Santa Barbara and five other county cities. However, the City did not receive HOME Funds for FY 2012-2013, due to HUD suspending available funds pending the result of an audit of the County of Santa Barbara. HOME Funds awarded for FY 2013-2014 will be addressed in next year's CAPER.

Additional information on the City's HOME Consortium participation can be found in the County of Santa Barbara's 2012-2013 CAPER.

### **Homeless Needs**

Identify actions taken to address needs of homeless persons.

1. Identify actions to help homeless persons make the transition to permanent housing and independent living.
2. Identify new Federal resources obtained from Homeless Super NOFA.

CAPER Homeless Needs response:

The City provided funding to Good Samaritan Services, Inc., as the service provider for the Marks House Homeless Shelter to continue shelter services in the City of Lompoc, and provided \$25,000 in CDBG program income to Good Samaritan for program services.

### **Specific Homeless Prevention Elements**

1. Identify actions taken to prevent homelessness.

CAPER Specific Homeless Prevention Elements response:

Pages 13 and 14 of this CAPER discuss Homeless Prevention measures taken by the City in 2012.

### **Emergency Shelter Grants (ESG)**

1. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).
2. Assessment of Relationship of ESG Funds to Goals and Objectives
  - a. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the Consolidated Plan.
  - b. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

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3. Matching Resources
    - a. Provide specific sources and amounts of new funding used to meet match requirements of 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.
  4. State Method of Distribution
    - a. States must describe their method of distribution and how it rated and selected its local government agencies and private nonprofit organizations acting as subrecipients.
  5. Activity and Beneficiary Data
    - a. Completion of attached Emergency Shelter Grant Program Performance Chart or other reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.
    - b. Homeless Discharge Coordination
      - i. As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.
    - c. Explain how your government is instituting a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

CAPER ESG response:

The City of Lompoc does not receive Emergency Shelter Grant (ESG) funding. A greater discussion on the use of ESG funding in Santa Barbara County is contained in the FY 2012-2013 Urban Consortium CAPER.

### **Community Development**

\*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Assessment of Relationship of CDBG Funds to Goals and Objectives
  - a. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.
  - b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.
  - c. Indicate the extent to which CDBG funds were used for activities that benefited extremely low-income, low-income, and moderate-income persons.
2. Changes in Program Objectives
  - a. Identify the nature of and the reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.
3. Assessment of Efforts in Carrying Out Planned Actions
  - a. Indicate how grantee pursued all resources indicated in the Consolidated Plan.
  - b. Indicate how grantee provided certifications of consistency in a fair and impartial manner.
  - c. Indicate how grantee did not hinder Consolidated Plan implementation by action or willful inaction.

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4. For Funds Not Used for National Objectives
    - a. Indicate how use of CDBG funds did not meet national objectives.
    - b. Indicate how use did not comply with overall benefit certification.
  5. Anti-displacement and Relocation – for activities that involve acquisition, Rehabilitation or demolition of occupied real property
    - a. Describe steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.
    - b. Describe steps taken to identify households, businesses, farms or nonprofit organizations that occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.
    - c. Describe steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.
  6. Low/Mod Job Activities – for economic development activities undertaken where jobs were made available but not taken by low- or moderate-income persons
    - a. Describe actions taken by grantee and businesses to ensure first consideration was or will be given to low/mod persons.
    - b. List by job title of all the permanent jobs created/retained and those that were made available to low/mod persons.
    - c. If any of jobs claimed as being available to low/mod persons require special skill, work experience, or education, provide a description of steps being taken or that will be taken to provide such skills, experience, or education.
  7. Low/Mod Limited Clientele Activities – for activities not falling within one of the categories of presumed limited clientele low and moderate income benefit
    - a. Describe how the nature, location, or other information demonstrates the activities benefit a limited clientele at least 51 percent of whom are low- and moderate-income.
  8. Program income received
    - a. Detail the amount of program income reported that was returned to each individual revolving fund, e.g., housing Rehabilitation, economic development, or other type of revolving fund.
    - b. Detail the amount repaid on each float-funded activity.
    - c. Detail all other loan repayments broken down by the categories of housing Rehabilitation, economic development, or other.
    - d. Detail the amount of income received from the sale of property by parcel.
  9. Prior period adjustments – where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:
    - a. The activity name and number as shown in IDIS;
    - b. The program year(s) in which the expenditure(s) for the disallowed activity(ies) was reported;
    - c. The amount returned to line-of-credit or program account; and
    - d. Total amount to be reimbursed and the time period over which the reimbursement is to be made, if the reimbursement is made with multi-year payments.
  10. Loans and other receivables
    - a. List the principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.
    - b. List the total number of other loans outstanding and the principal balance owed as of the end of the reporting period.

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- c. List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.
  - d. Detail the total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.
  - e. Provide a List of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.
11. Lump sum agreements
    - a. Provide the name of the financial institution.
    - b. Provide the date the funds were deposited.
    - c. Provide the date the use of funds commenced.
    - d. Provide the percentage of funds disbursed within 180 days of deposit in the institution.
  12. Housing Rehabilitation – for each type of Rehabilitation program for which projects/units were reported as completed during the program year
    - a. Identify the type of program and number of projects/units completed for each program.
    - b. Provide the total CDBG funds involved in the program.
    - c. Detail other public and private funds involved in the project.
  13. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies
    - a. Describe progress against benchmarks for the program year. For grantees with Federally-designated EZs or ECs that received HUD approval for a neighborhood revitalization strategy, reports that are required as part of the EZ/EC process shall suffice for purposes of reporting progress.

CAPER Community Development response:

An Assessment of Relationship of CDBG Funds to Goals and Objectives is shown in the tables on pages 4 and 5 of this report.

In Evaluating Progress Made Towards Meeting Goals for Providing Affordable Housing Using CDBG Funds, the following affordable projects were assisted using Lompoc CDBG Funds in FY 2012-2013:

**Affordable Housing Projects funded by CDBG Table IV-5**

Program Year	IDIS Activity Number	Housing Project Activity Name	Dollars Involved	Action Taken This Year
2012	570	Rehabilitation Loan Administration	\$132,605	Administration of City's Rehabilitation Loan Program, including delivery and servicing cost.
2012	563	Single-Family Residence Rehabilitation Loan #68	\$3,367	Rehabilitation of the single-family residence addressed health, safety, and code concerns, along with maintenance and upgrades.
2012	564	Single-Family Residence Rehabilitation Loan #69	\$28,703	Rehabilitation of the single-family residence addressed health, safety, and code concerns, along with maintenance & upgrades.
2012	576	Marks House Renovation	\$904.94	Rehabilitation of the single-family residence used as a Homeless Facility. The rehabilitation addressed some health, safety, and code concerns, along with maintenance & upgrades.

For details concerning the accomplishment of affordable housing goals using Lompoc HOME funds, please see pages 11-13 of this report. For additional detail on the HOME Program in Santa Barbara County, consult the FY 2012-2013 Urban County HOME Consortium CAPER for additional details. FY 2012-2013 was the third year in the Urban County Consortium's 2010-2015 Consolidated Plan and accomplishments are consistent with the 2012 Action Plan. The City did not make any Changes in Program Objectives (Item 2).

In the City's Assessment of Efforts in Carrying Out Planned Actions (Item 3), the City pursued all resources indicated in the Consolidated Plan (Item 3a). These resources included HOME Consortium funds, Lompoc Human Services funds, CDBG Program Income, and State HOME Program Income.

There were no intentional ineligible uses of CDBG funds or Funds Not Used for National Objectives. There were no activities that involved acquisition, rehabilitation or demolition of occupied real property that involved permanent displacements or relocations. No permanent displacements or relocations occurred during this time period.

Low- and Moderate-Income Job Activities

The City continues to receive payments (\$30,885 in 2012) on one existing economic development loan made to a local restaurant.

While the City's Economic Development Revolving Loan Program did not generate any new loans in FY 2012-2013, in 2012, the City's efforts assisted in the opening of eight new businesses which represent approximately 228 local full- and part-time jobs in the community.

The City has also supported job creation efforts through the Housing Rehabilitation Loan Program, which indirectly assists job creation among local contractors.

Low- and Moderate-Income Clientele Activities

For activities involving low- and moderate-income clientele, the City targeted CDBG funds in the following way:

1. Self certification and/or certification of household income via third party documentation (for public services, affordable housing, and economic development); and
2. Public facility improvements (sidewalks and street pedestrian curb cuts, park improvements) are targeted within the low- and moderate-income census tract areas of the City.

A map is included with this CAPER which shows the L/M areas of the City where these activities were located.

Program Income Received in FY 2012-2013

A total of \$182,704 was received as program income in FY 2012-2013. This income came from the following sources:

Interest Income	\$443
Single and Multi Family Housing Loan Repayments, Fees	\$151,375
Economic Development Loan Payments	\$30,885

Prior Period Adjustments

The City had no Prior Period Adjustments for any expenditures.

Loans and other Receivables

The City has no Float-Funded Activities.

The City had four CDBG rehabilitation loan payoffs in FY 2012-2013, which totaled \$131,138.

There are 40 outstanding housing rehabilitation loans, with a total principal balance of \$1,188,418. Thirty-six of the 40 loans are deferred until sale or transfer of the title, with borrowers 60 years of age or older or deemed disabled by the State of California. Two of the 40 outstanding housing rehabilitation loans are multi-unit housing rehabilitation loans. The total outstanding balance of those loans is \$181,248, for the properties located at 503, 507, and 507-1/2 North B Street, and an undisclosed address (for security purposes) with the Domestic Violence Solutions for Santa Barbara County–Lompoc Emergency Shelter.

There are two CDBG property acquisition loans with a total outstanding principal balance of \$728,213, for properties located at 608 West Ocean Avenue and 112 and 122 North H Street.

There is one outstanding economic development loan with a principal balance of \$292,062 for 825 North H Street.

There were no loans written-off in FY 2012-2013. The City did receive a \$6,000 payoff towards a rehabilitation loan on a property that was a short-sale. The property was over-encumbered. The balance of the loan is \$20,637 and remains open in the event funds become available from the estate of the owner and the City would be able to make a claim on those funds.

Lump sum agreements

The City does not have any Lump Sum Agreements.

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## Housing Rehabilitation

The City's Housing Rehabilitation Accomplishments are discussed on page 4 of this CAPER.

## Neighborhood Revitalization Strategies

The City does not have a Neighborhood Revitalization Strategy and was ineligible to receive Neighborhood Stabilization Program (NSP) funds.

## **Antipoverty Strategy**

1. Describe actions taken during the last year to reduce the number of persons living below the poverty level.

CAPER Antipoverty Strategy response:

The City of Lompoc's anti-poverty strategy is three-fold: 1) to encourage the preservation and expansion of the affordable housing stock in order to reduce housing costs for very-low income households, 2) to increase the capacity of very-low income persons by improving skills and training, and 3) to support efforts of the local private sector to locate new, and expand existing businesses, with the aim of increasing overall local employment and the wage levels of employees.

Under Strategy #1, the City provided complaint-based Code Enforcement services in the low- and moderate-income areas of the City to improve the housing stock. By encouraging property owners and homeowners to improve their housing, the City encourages this effort through the use of the City's CDBG Housing Rehabilitation Loan Program. This program provides loan funds to homeowners who can hire local contractors, which provides an economic stimulus to the local economy and employment opportunities for contractors and tradespersons (such as plumbers, electricians, etc.).

Under Strategy #2, this past year the City provided CDBG Public Service funding to a number of service providers, such as the Community Action Commission and Family Service Agency, which provided numerous services to families. The services provided included counseling, education, and guidance for both parents and children resulting in stronger and more stable family environments and a stronger community.

Under Strategy #3, the City administered the CDBG Economic Development Revolving Loan Fund, which provides gap loan assistance to employers who are either starting a new business or expanding an existing business and can demonstrate their action will create new jobs for low-income workers. Although no new loans were issued, the City worked with many businesses and promoted the loan program. In PY 2012, the City's efforts assisted in the opening of eight new businesses, representing approximately 228 local full- and part-time jobs in the community.

## **Non-homeless Special Needs**

\*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Identify actions taken to address special needs of persons that are not homeless but require supportive housing, (including persons with HIV/AIDS and their families).

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CAPER Non-homeless Special Needs response:

- Through Human Services Donations, the City assisted Good Samaritan Recovery Way Home (\$3,000) and Transitions Mental Health Association-Lompoc Recovery Learning Community for persons with mental disabilities (\$3,250).
- Through Lompoc CDBG Urban Consortium funds, the City assisted Domestic Violence Solutions for Santa Barbara County – Lompoc Emergency Shelter with (\$8,000) for housing women and children fleeing domestic violence situations.
- Other affordable housing in the community for special needs individuals is provided by the Housing Authority of the County of Santa Barbara and Good Samaritan’s Recovery Way Home.

### **Specific HOPWA Objectives**

1. Overall Assessment of Relationship of HOPWA Funds to Goals and Objectives  
Grantees should demonstrate through the CAPER and related IDIS reports the progress they are making at accomplishing identified goals and objectives with HOPWA funding. Grantees should demonstrate:
  - a. That progress is being made toward meeting the HOPWA goal for providing affordable housing using HOPWA funds and other resources for persons with HIV/AIDS and their families through a comprehensive community plan;
  - b. That community-wide HIV/AIDS housing strategies are meeting HUD’s national goal of increasing the availability of decent, safe, and affordable housing for low-income persons living with HIV/AIDS;
  - c. That community partnerships between State and local governments and community-based non-profits are creating models and innovative strategies to serve the housing and related supportive service needs of persons living with HIV/AIDS and their families;
  - d. That through community-wide strategies Federal, State, local, and other resources are matched with HOPWA funding to create comprehensive housing strategies;
  - e. That community strategies produce and support actual units of housing for persons living with HIV/AIDS; and finally,
  - f. That community strategies identify and supply related supportive services in conjunction with housing to ensure the needs of persons living with HIV/AIDS and their families are met.
2. This should be accomplished by providing an executive summary (1-5 pages) that includes:
  - a. Grantee Narrative
    - i. Grantee and Community Overview
      - (1) A brief description of your organization, the area of service, the name of each project sponsor and a broad overview of the range/type of housing activities and related services;
      - (2) How grant management oversight of project sponsor activities is conducted and how project sponsors are selected;
      - (3) A description of the local jurisdiction, its need, and the estimated number of persons living with HIV/AIDS;
      - (4) A brief description of the planning and public consultations involved in the use of HOPWA funds including reference to any appropriate planning document or advisory body;
      - (5) What other resources were used in conjunction with HOPWA funded activities, including cash resources and in-kind contributions, such as the value of services or materials provided by volunteers or by other individuals or organizations;
      - (6) Collaborative efforts with related programs including coordination and planning with clients, advocates, Ryan White CARE Act planning bodies, AIDS Drug Assistance

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Programs, homeless assistance programs, or other efforts that assist persons living with HIV/AIDS and their families.

ii. Project Accomplishment Overview

- (1) A brief summary of all housing activities broken down by three types: emergency or short-term rent, mortgage or utility payments to prevent homelessness; rental assistance; facility based housing, including development cost, operating cost for those facilities and community residences;
- (2) The number of units of housing which have been created through acquisition, Rehabilitation, or new construction since 1993 with any HOPWA funds;
- (3) A brief description of any unique supportive service or other service delivery models or efforts;
- (4) Any other accomplishments recognized in your community due to the use of HOPWA funds, including any projects in developmental stages that are not operational.

iii. Barriers or Trends Overview

- (1) Describe any barriers encountered, actions in response to barriers, and recommendations for program improvement;
- (2) Trends you expect your community to face in meeting the needs of persons with HIV/AIDS; and
- (3) Any other information you feel may be important as you look at providing services to persons with HIV/AIDS in the next 5-10 years.

b. Accomplishment Data

- i. Completion of CAPER Performance Chart 1 of Actual Performance in the provision of housing (Table II-1 to be submitted with CAPER).
- ii. Completion of CAPER Performance Chart 2 of Comparison to Planned Housing Actions (Table II-2 to be submitted with CAPER).

CAPER Specific HOPWA Objectives response:

The City of Lompoc does not receive HOPWA funding.

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OTHER NARRATIVE

Chapter VI

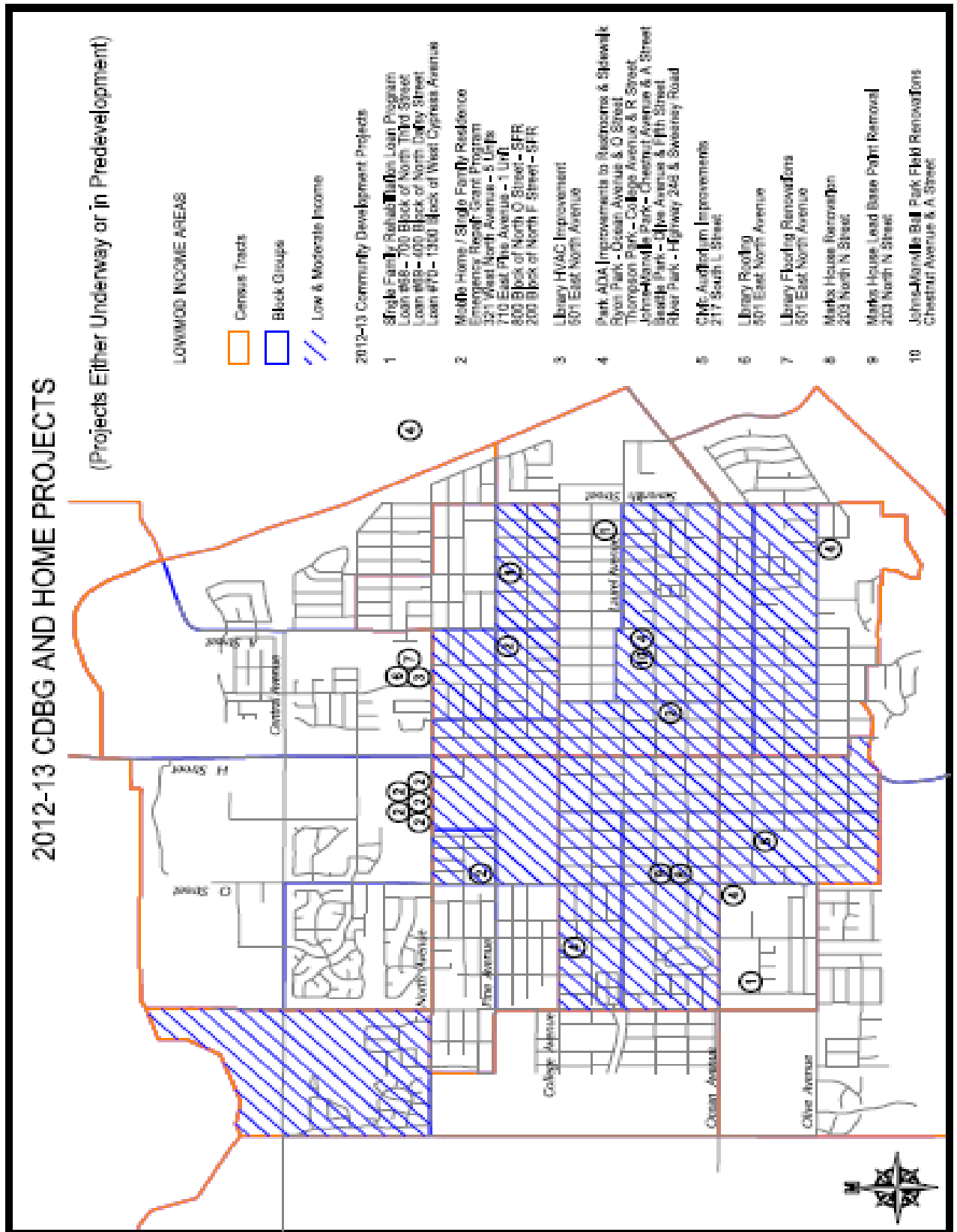
Include any CAPER information that was not covered by narratives in any other section.

CAPER Other Narrative response:

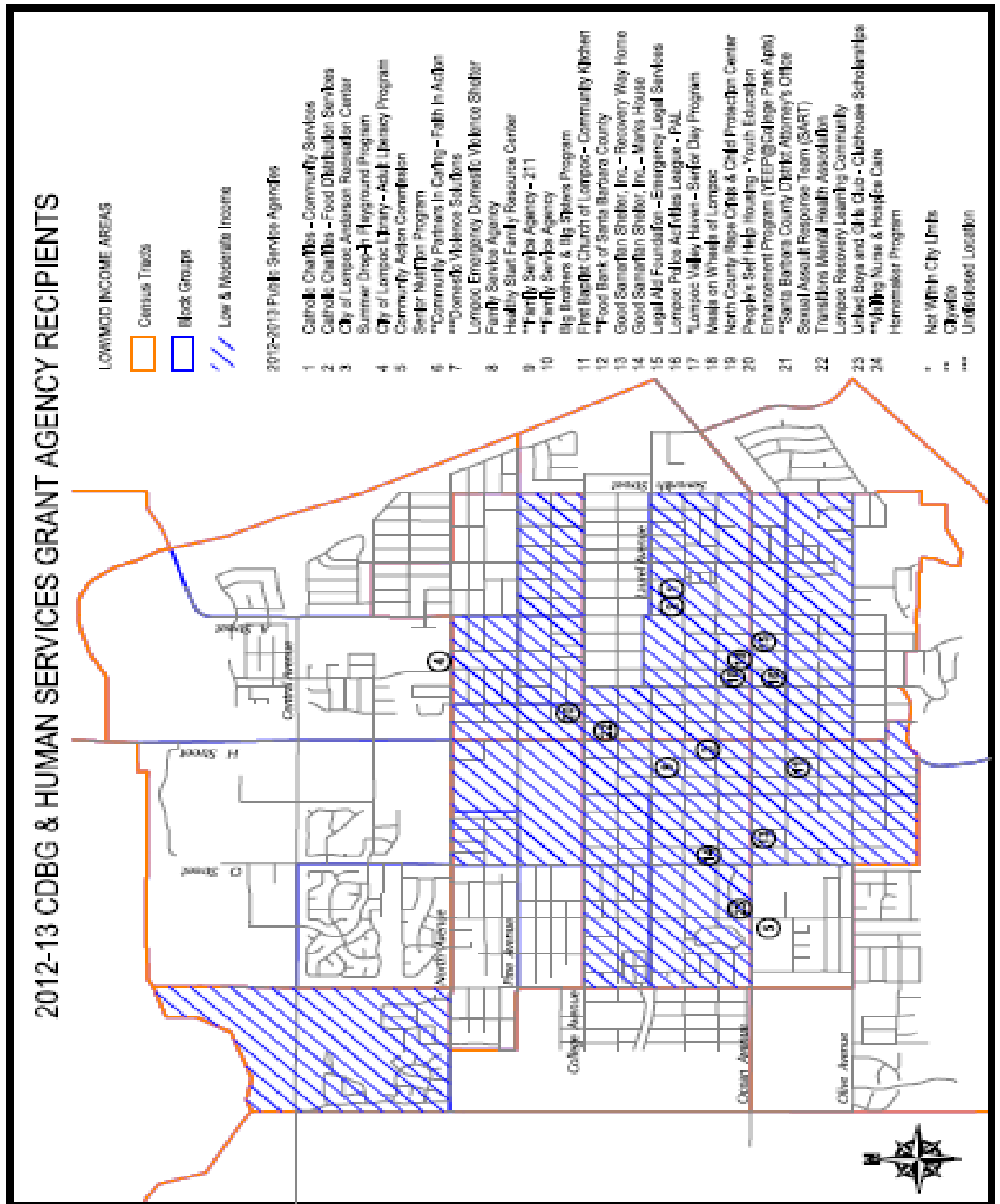
The Santa Barbara County Urban Consortium FY 2012-2013 CAPER provides additional narratives and information on the use of Lompoc CDBG funds during that time period.

All CDBG activities benefitted low- and moderate-income persons and households because of their location in a CDBG qualifying census tract, or because the household qualified as low-income, or was a 'presumed beneficiary'. The following maps show the low-income areas of Lompoc and activities carried out, such as Housing Rehabilitation, Public Facilities, Public Service Agency locations, and Code Enforcement activity in the low- and moderate-income census tract areas.

Map 1  
 FY 2012-2013 CDBG and HOME Projects



Map 2  
 FY 2012-2013 CDBG & Human Services Grant Agency Recipients



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Public Participation Notice

NOTICE OF A PUBLIC HEARING  
Consolidated Annual Performance and Evaluation Report  
(CAPER)

NOTICE IS HEREBY GIVEN that the City of Lompoc has prepared a draft copy of the FY 2012-2013 Consolidated Annual Performance and Evaluation Report (CAPER) on activities funded with federal Community Development Block Grant (CDBG) Program Income funds between July 1, 2012, through June 30, 2013. The CAPER details achievements made using CDBG and federal HOME funds towards affordable housing and community development goals established in existing approved Consolidated Plan documents. The City is making the draft plan available for public comment and review for a 15-day public comment period, beginning September 12 and ending September 27, 2013.

Copies of this report are available for review at the Community Development Block Grant Program Office, located at the Lompoc City Hall at 100 Civic Center Plaza and the Public Library at 501 East North Avenue, Lompoc. All interested parties are invited to submit their written comments to: CDBG Program, City of Lompoc, 100 Civic Center Plaza, Lompoc, CA 93436, attention: Teresa Gallavan, Economic and Community Development Director/Assistant City Administrator or email [t\\_gallavan@ci.lompoc.ca.us](mailto:t_gallavan@ci.lompoc.ca.us).

City Council will review the CAPER at a public hearing during its regularly scheduled meeting of September 17, 2013, at 7:00 pm in City Council Chambers, 100 Civic Center Plaza, Lompoc, California. The CAPER will be available for review on the City's web site at <http://www.cityoflompoc.com>.

**City of Lompoc CAPER, FY 2012-2013**  
**Private and Non-Federal Leverage Funds Table VI-7**

	City Funds	County Funds	State Funds	Private Foundations	Donations	Fund Raisers	Program Fee	Volunteer Hours	Other	Total
<b>Human Service Program</b>										
Boys & Girls Club (Clubhouse Scholarships)	7,500	-	-	127,663	10,369	96,360	48,615	72,000	78,700	441,207
Catholic Charities (Community Services)	9,500	30,000	-	40,000	13,000	75,000			35,000	202,500
Catholic Charities (Food Distribution)	13,000	19,800		15,000	4,200	53,000				105,000
City of Lompoc PR & UF (Summer Drop-in)	4,650				-	500	1,921	1,000		8,071
Community Action Commission (Senior Nutrition)	10,000	9,828	30,366	8,820	49,468	-	1,647	42,208	-	152,337
Community Partners in Caring (Faith in Action)	3,300	2,000	5,450	9,100	3,000	10,500		24,200		57,550
Domestic Violence Solutions (Emergency Shelter)	8,000	6,650	93,100	35,000	1,200				6,150	150,100
Family Service Agency of SB (Resource Center)	4,000	122,167	12,000	82,417					-	220,584
Food Bank of SB Co (Food Distribution Program)	4,000	-			56,000	18,200				78,200
Good Samaritan Shelter Inc. (Recovery Way Home)	3,000	445,000	-		10,000		30,000	10,000	-	498,000
Legal Aid Foundation (Emergency Legal Services)	9000	15453	3600	400		19576	100			48,129
Lompoc PAL Program	1,000				7,500	6,000				14,500
Lompoc Public Library (Adult Reading Program)	4,000	6,181		4,000	750	6,804		89,265	6,000	117,000
Meals on Wheels	4,250				8,000	2,763	26,000		255	41,268
No. County Rape Crisis/Child Protection Center	11,400	5,700	37,500	80,800	83,600	37,550		60,000	163,100	479,650
Peoples' Self-Help Housing - Youth Education Program	1,500			2,153	1,667	5,859			2,254	13,433
Santa Barbara CO Dist Atty Off (Sexual Assault Resp)	2,400		10,900						-	13,300
Good Samaritan Shelter, Inc. (Marks House)	25,000	4,415		19,451			5,800		35,000	89,666
Transitions (Lompoc Drop-in Mental Health Program)	3,250	105,000		2,245	500					110,995
Valley Haven (Senior Day Care)	2,250	4,400		27,000	10,500	8,000	135,000		125	187,275
Visiting Nurse & Hospice (Homemaker Program)	2,000	18,191			2,060				107,016	129,267
Family Service Agency of Santa Barbara (211 Helpline)	1,000	34,000	43,444	10,000	95,709				34,447	218,600
Family Service Agency of Santa Barbara (Big Brothers/Sisters)	2,000	23,940				15,412				41,352
First Baptist Church of Lompoc	1,000	106			250			3,290	850	5,496
<b>TOTALS:</b>	<b>137,000</b>	<b>852,831</b>	<b>236,360</b>	<b>464,049</b>	<b>357,773</b>	<b>355,524</b>	<b>249,083</b>	<b>301,963</b>	<b>468,897</b>	<b>3,423,480</b>

From: Revenue source Details for Local Agency (Projected Amount), unless otherwise noted.

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## **Summary of Citizen Comments**

Citizen Comments received will be reported in the final version of the CAPER.

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## Local Government Certifications

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, Rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will:
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or Rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

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7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** --It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

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Signature/Authorized Official  
Laurel M. Barcelona, City Administrator

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Date

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## Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** ---- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low- and moderate-income. (See 24 CFR 570.2 and 24 CFR Part 570.)

**Following a Plan** ---- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. Overall Benefit. The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2010, 2011, 2012 (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low- and moderate-income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made, as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

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**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance With Anti-discrimination Laws** -- The grant will be conducted and administered in conformity with Title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of Part 35, Subparts A, B, J, K and R, of Title 24;

**Compliance with Laws** -- It will comply with applicable laws.

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Signature/Authorized Official  
Laurel M. Barcelona, City Administrator

\_\_\_\_\_  
Date

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## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

#### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies. (This is the information to which jurisdictions certify).
4. For grantees who are individuals, Alternate II applies. (Not applicable jurisdictions.)
5. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

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Place of Performance (Street address, city, county, state, zip code)

City of Lompoc  
100 Civic Center Plaza  
Lompoc, CA 93436

Santa Barbara County

Check \_\_\_ if there are workplaces on file that are not identified here; the certification with regard to the drug-free workplace required by 24 CFR Part 24, Subpart F.

9. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

- INSERTS: 1) IDIS CDBG Summary of accomplishments PY 2012  
2) IDIS PR26 CDBG Financial Summary Report PY 2012  
3) IDIS PR06 Summary of Consolidated Plan Projects for Report Year  
4) IDIS PR01 HUD Grants and Program Income  
5) IDIS CDBG Activity Summary Report (GPR) for PY 2012.  
6) Legal Aid Foundation's 2012-2013 Annual Report on Fair Housing Education, Testing and Resolution



U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Summary of Accomplishments  
 Program Year: 2012

DATE: 09-13-13  
 TIME: 19:42  
 PAGE: 1

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Count of CDBG Activities with Disbursements by Activity Group & Matrix Code

Activity Group	Activity Category	Underway Count	Underway Activities Disbursed	Completed Count	Completed Activities Disbursed	Program Year Count	Total Activities Disbursed
Housing	Rehab; Single-Unit Residential (14A)	1	\$0.00	3	\$32,069.95	4	\$32,069.95
	Rehab; Other Publicly-Owned Residential Buildings (14D)	1	\$904.94	0	\$0.00	1	\$904.94
	Rehabilitation Administration (14H)	1	\$132,605.02	1	\$0.00	2	\$132,605.02
	<b>Total Housing</b>	<b>3</b>	<b>\$133,509.96</b>	<b>4</b>	<b>\$32,069.95</b>	<b>7</b>	<b>\$165,579.91</b>
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	1	\$75,551.06	0	\$0.00	1	\$75,551.06
	Senior Centers (03A)	1	\$0.00	0	\$0.00	1	\$0.00
	<b>Total Public Facilities and Improvements</b>	<b>2</b>	<b>\$75,551.06</b>	<b>0</b>	<b>\$0.00</b>	<b>2</b>	<b>\$75,551.06</b>
Public Services	Operating Costs of Homeless/AIDS Patients Programs (03T)	1	\$165.22	0	\$0.00	1	\$165.22
	Public Services (General) (05)	2	\$3,804.00	1	\$0.00	3	\$3,804.00
	Senior Services (05A)	2	\$13,300.00	0	\$0.00	2	\$13,300.00
	Legal Services (05C)	0	\$0.00	1	\$0.00	1	\$0.00
	Youth Services (05D)	2	\$6,650.00	0	\$0.00	2	\$6,650.00
	Battered and Abused Spouses (05G)	0	\$0.00	1	\$0.00	1	\$0.00
	Fair Housing Activities (if CDGS, then subject to 15% cap) (05J)	1	\$0.00	0	\$0.00	1	\$0.00
	Child Care Services (05L)	0	\$0.00	1	\$0.00	1	\$0.00
	Food Banks (05W)	0	\$0.00	1	\$0.00	1	\$0.00
	<b>Total Public Services</b>	<b>8</b>	<b>\$23,919.22</b>	<b>5</b>	<b>\$0.00</b>	<b>13</b>	<b>\$23,919.22</b>
General Administration and Planning	General Program Administration (21A)	1	\$29,780.09	1	\$0.00	2	\$29,780.09
	Fair Housing Activities (subject to 20% Admin Cap) (21D)	1	\$6,603.47	0	\$0.00	1	\$6,603.47
	<b>Total General Administration and Planning</b>	<b>2</b>	<b>\$36,383.56</b>	<b>1</b>	<b>\$0.00</b>	<b>3</b>	<b>\$36,383.56</b>
<b>Grand Total</b>		<b>15</b>	<b>\$269,363.80</b>	<b>10</b>	<b>\$32,069.95</b>	<b>25</b>	<b>\$301,433.75</b>



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**CDBG Sum of Actual Accomplishments by Activity Group and Accomplishment Type**

Activity Group	Matrix Code	Accomplishment Type	Open Count	Completed Count	Program Year Totals
Housing	Rehab; Single-Unit Residential (14A)	Housing Units	1	3	4
	Rehab; Other Publicly-Owned Residential Buildings (14D)	Housing Units	0	0	0
	Rehabilitation Administration (14H)	Organizations	0	3	3
	<b>Total Housing</b>		<b>1</b>	<b>6</b>	<b>7</b>
Public Facilities and Improvements	Public Facilities and Improvement (General) (03)	Public Facilities	37,645	0	37,645
	Senior Centers (03A)	Public Facilities	0	0	0
	<b>Total Public Facilities and Improvements</b>		<b>37,645</b>	<b>0</b>	<b>37,645</b>
Public Services	Operating Costs of Homeless/AIDS Patients Programs (03T)	Persons	27	0	27
	Public Services (General) (05)	Persons	80	1,570	1,650
	Senior Services (05A)	Persons	364	0	364
	Legal Services (05C)	Persons	0	147	147
	Youth Services (05D)	Persons	200	0	200
	Battered and Abused Spouses (05G)	Persons	0	60	60
	Fair Housing Activities (if CDGS, then subject to 15% cap) (05J)	Persons	110	0	110
	Child Care Services (05L)	Persons	0	144	144
	Food Banks (05W)	Persons	0	14,902	14,902
	<b>Total Public Services</b>		<b>781</b>	<b>16,823</b>	<b>17,604</b>
<b>Grand Total</b>			<b>38,427</b>	<b>16,829</b>	<b>55,256</b>



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**CDBG Beneficiaries by Racial / Ethnic Category**

Housing-Non Housing	Race	Total Persons	Total Hispanic		Total Hispanic Households
			Persons	Total Households	
Housing	White	3	2	3	1
	Native Hawaiian/Other Pacific Islander	0	0	1	0
	<b>Total Housing</b>	<b>3</b>	<b>2</b>	<b>4</b>	<b>1</b>
Non Housing	White	1,014	361	0	0
	Black/African American	80	3	0	0
	Asian	22	0	0	0
	American Indian/Alaskan Native	2	0	0	0
	Native Hawaiian/Other Pacific Islander	29	0	0	0
	American Indian/Alaskan Native & White	5	1	0	0
	Asian & White	8	5	0	0
	Black/African American & White	2	0	0	0
	Amer. Indian/Alaskan Native & Black/African Amer.	4	0	0	0
	Other multi-racial	1,536	1,531	0	0
	<b>Total Non Housing</b>	<b>2,702</b>	<b>1,901</b>	<b>0</b>	<b>0</b>
Grand Total	White	14,139	9,278	3	1
	Black/African American	991	39	0	0
	Asian	173	21	0	0
	American Indian/Alaskan Native	156	31	0	0
	Native Hawaiian/Other Pacific Islander	114	15	1	0
	American Indian/Alaskan Native & White	216	50	0	0
	Asian & White	86	20	0	0
	Black/African American & White	142	48	0	0
	Amer. Indian/Alaskan Native & Black/African Amer.	54	5	0	0
	Other multi-racial	1,536	1,531	0	0
	<b>Total Grand Total</b>	<b>17,607</b>	<b>11,038</b>	<b>4</b>	<b>1</b>



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**CDBG Beneficiaries by Income Category**

	<b>Income Levels</b>	<b>Owner Occupied</b>	<b>Renter Occupied</b>	<b>Persons</b>
Housing	Extremely Low (<=30%)	0	0	0
	Low (>30% and <=50%)	0	0	0
	Mod (>50% and <=80%)	2	0	0
	Total Low-Mod	2	0	0
	Non Low-Mod (>80%)	0	0	0
	Total Beneficiaries	2	0	0
Non Housing	Extremely Low (<=30%)	0	0	462
	Low (>30% and <=50%)	0	0	137
	Mod (>50% and <=80%)	0	0	45
	Total Low-Mod	0	0	644
	Non Low-Mod (>80%)	0	0	0
	Total Beneficiaries	0	0	644



**PART I: SUMMARY OF CDBG RESOURCES**

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	0.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	213,616.28
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	301,433.75
08 TOTAL AVAILABLE (SUM, LINES 01-07)	515,050.03

**PART II: SUMMARY OF CDBG EXPENDITURES**

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	265,050.19
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	265,050.19
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	36,383.56
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	213,616.28
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	515,050.03
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	0.00

**PART III: LOWMOD BENEFIT THIS REPORTING PERIOD**

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	265,050.19
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	265,050.19
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	100.00%

**LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS**

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

**PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS**

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	23,919.22
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00

30	ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31	TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	23,919.22
32	ENTITLEMENT GRANT	0.00
33	PRIOR YEAR PROGRAM INCOME	474,209.80
34	ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35	TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	474,209.80
36	PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	5.04%
<b>PART V: PLANNING AND ADMINISTRATION (PA) CAP</b>		
37	DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	36,383.56
38	PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39	PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40	ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41	TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	36,383.56
42	ENTITLEMENT GRANT	0.00
43	CURRENT YEAR PROGRAM INCOME	213,616.28
44	ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45	TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	213,616.28
46	PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	17.03%

**LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17**

Report returned no data.

**LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18**

Report returned no data.

**LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19**

Plan Year	IDIS Project	IDIS Activity	Voucher	Activity Name	Matrix	National	Drawn Amount
2011	4	563	5595060	711 North Third Street - Rehabilitation Loan# 68	14A	LMH	\$2,202.00
2011	4	563	5601581	711 North Third Street - Rehabilitation Loan# 68	14A	LMH	\$1,165.00
2011	4	564	5595025	424 North Daisy Street - Rehabilitation Loan #69	14A	LMH	\$3,025.00
2011	4	564	5595060	424 North Daisy Street - Rehabilitation Loan #69	14A	LMH	\$9,697.95
2011	4	564	5601398	424 North Daisy Street - Rehabilitation Loan #69	14A	LMH	\$15,980.00
2011	5	565	5595060	Lompoc Public Library Roofing Project	03	LMA	\$706.37
2011	5	565	5601398	Lompoc Public Library Roofing Project	03	LMA	\$241.23
2011	5	565	5601604	Lompoc Public Library Roofing Project	03	LMA	\$32,523.70
2011	5	565	5601724	Lompoc Public Library Roofing Project	03	LMA	\$1,537.60
2011	5	565	5601737	Lompoc Public Library Roofing Project	03	LMA	\$11,375.00
2011	5	565	5601755	Lompoc Public Library Roofing Project	03	LMA	\$3,870.93
2011	5	565	5601801	Lompoc Public Library Roofing Project	03	LMA	\$900.00
2011	5	565	5601827	Lompoc Public Library Roofing Project	03	LMA	\$6,945.49
2011	5	565	5603303	Lompoc Public Library Roofing Project	03	LMA	\$7,187.70
2011	5	565	5603340	Lompoc Public Library Roofing Project	03	LMA	\$10,263.04
2011	6	562	5603303	Good Samaritan Shelter, Inc. (MARKS HOUSE)	03T	LMC	\$165.22
2012	4	570	5594469	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$20,805.14

2012	4	570	5595025	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$7,364.86
2012	4	570	5595060	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$7,707.50
2012	4	570	5601398	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$10,543.54
2012	4	570	5601581	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$7,050.08
2012	4	570	5601604	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$6,472.67
2012	4	570	5601724	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$6,079.08
2012	4	570	5601737	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$6,416.05
2012	4	570	5601755	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$9,550.02
2012	4	570	5601801	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$8,761.40
2012	4	570	5601827	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$17,855.68
2012	4	570	5603303	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$12,780.99
2012	4	570	5603340	REHABILITATION LOAN ADMINISTRATION	14H	LMC	\$11,218.01
2012	4	576	5601755	MARKS HOUSE RENOVATION	14D	LMH	\$107.27
2012	4	576	5601827	MARKS HOUSE RENOVATION	14D	LMH	\$241.35
2012	4	576	5603303	MARKS HOUSE RENOVATION	14D	LMH	\$187.72
2012	4	576	5603340	MARKS HOUSE RENOVATION	14D	LMH	\$368.60
2012	6	567	5601801	CITY OF LOMPOC PR&UF (SUMMER DROP-IN)	05D	LMC	\$4,650.00
2012	6	568	5603303	FAMILY SERVICE AGENCY (HEALTHY START FAMILY	05	LMC	\$1,804.00
2012	6	568	5603340	FAMILY SERVICE AGENCY (HEALTHY START FAMILY	05	LMC	\$2,000.00
2012	6	572	5601801	COMMUNITY PARTNERS IN CARING	05A	LMC	\$3,300.00
2012	6	573	5601737	COMMUNITY ACTION COMMISSION/SR NUTRITION	05A	LMC	\$5,000.00
2012	6	573	5603340	COMMUNITY ACTION COMMISSION/SR NUTRITION	05A	LMC	\$5,000.00
2012	6	574	5603303	Family Service Agency (Big Brothers & Big Sisters Program)	05D	LMC	\$1,000.00
2012	6	574	5603340	Family Service Agency (Big Brothers & Big Sisters Program)	05D	LMC	\$1,000.00
<b>Total</b>							<b>\$265,050.19</b>

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

PR06 - Summary of Consolidated Plan Projects for Report  
Year

Plan IDIS Year Project	Project Title and Description	Program	Project Estimate	Committed Amount	Amount Drawn Thru Report Year
2012 1	PROGRAM ADMINISTRATION EXAMPLES OF ELIGIBLE ACTIVITIES INCLUDE PREPARING PROGRAM BUDGETS, SCHEDULES, AMENDMENTS; DEVELOPING SYSTEMS FOR ASSURING COMPLIANCE WITH PROGRAM REQUIREMENTS; PREPARING REPORTS AND OTHER COMPLIANCE DOCUMENTS, AND DEVELOPING AGREEMENTS WITH SUB-RECEIPIENTS AND CONTACTORS.	CDBG	\$32,000.00	\$36,383.56	\$36,383.56
2	ECONOMIC DEVELOPMENT PROVIDES ECONOMIC DEVELOPMENT REVOLING LOAN PROGRAM TO ASSIT FOR-PROFIT BUISNESSES EXPAND AND CREATE OR RETAIN JOBS, 51% OR WHICH MUST BE MADE AVAILABLE TO LOW AND MODERATE INCOME PERSONS.	CDBG	\$200,000.00	\$0.00	\$0.00
4	HOUSING ASSIST LOW/MOD INCOME RESIDENTS OBTAIN DECENT, SAFE AND SANITARY HOUSING THAT IS AFFORDABLE.	CDBG	\$351,588.00	\$133,509.96	\$133,509.96
6	HUMAN SERVICES TO PROVIDE QUALITY COMPREHENSIVE SERVICES TO THOSE MOST IN NEED, TO EMPOWER LOWER INCOME PERSON TO ENABLE THEM TO BECOME SELF SUFFICIENT.	CDBG	\$23,754.00	\$23,754.00	\$23,754.00

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

PR06 - Summary of Consolidated Plan Projects for Report  
Year

Plan IDIS Year Project	Project Title and Description	Program	Amount Available to Draw	Amount Drawn in Report Year
2012 1	PROGRAM ADMINISTRATION EXAMPLES OF ELIGIBLE ACTIVITIES INCLUDE PREPARING PROGRAM BUDGETS, SCHEDULES, AMENDMENTS; DEVELOPING SYSTEMS FOR ASSURING COMPLIANCE WITH PROGRAM REQUIREMENTS; PREPARING REPORTS AND OTHER COMPLIANCE DOCUMENTS, AND DEVELOPING AGREEMENTS WITH SUB-RECEIPIENTS AND CONTACTORS.	CDBG	\$0.00	\$36,383.56
2	ECONOMIC DEVELOPMENT PROVIDES ECONOMIC DEVELOPMENT REVOLING LOAN PROGRAM TO ASSIT FOR-PROFIT BUISNESSES EXPAND AND CREATE OR RETAIN JOBS, 51% OR WHICH MUST BE MADE AVAILABLE TO LOW AND MODERATE INCOME PERSONS.	CDBG	\$0.00	\$0.00
4	HOUSING ASSIST LOW/MOD INCOME RESIDENTS OBTAIN DECENT, SAFE AND SANITARY HOUSING THAT IS AFFORDABLE.	CDBG	\$0.00	\$133,509.96
6	HUMAN SERVICES TO PROVIDE QUALITY COMPREHENSIVE SERVICES TO THOSE MOST IN NEED, TO EMPOWER LOWER INCOME PERSON TO ENABLE THEM TO BECOME SELF SUFFICIENT.	CDBG	\$0.00	\$23,754.00

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

PR01 - HUD Grants and Program Income

Program	Fund Type	Grantee Name	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount
CDBG	EN	LOMPOC	B86MC060543	\$313,000.00	\$0.00	\$313,000.00	\$313,000.00
			B88MC060543	\$300,000.00	\$0.00	\$300,000.00	\$300,000.00
			B89MC060543	\$312,000.00	\$0.00	\$312,000.00	\$312,000.00
			B90MC060543	\$299,000.00	\$0.00	\$299,000.00	\$299,000.00
			B91MC060543	\$335,000.00	\$0.00	\$335,000.00	\$335,000.00
			B92MC060543	\$366,000.00	\$0.00	\$366,000.00	\$366,000.00
			B93MC060543	\$498,000.00	\$0.00	\$498,000.00	\$498,000.00
			B94MC060543	\$542,000.00	\$0.00	\$542,000.00	\$542,000.00
			B95MC060543	\$680,000.00	\$0.00	\$680,000.00	\$680,000.00
			B96MC060543	\$663,000.00	\$0.00	\$663,000.00	\$663,000.00
			B97MC060543	\$652,000.00	\$0.00	\$652,000.00	\$652,000.00
			B98MC060543	\$628,000.00	\$0.00	\$628,000.00	\$628,000.00
			B99MC060543	\$631,000.00	\$0.00	\$631,000.00	\$631,000.00
			B00MC060543	\$629,000.00	\$0.00	\$629,000.00	\$629,000.00
			B01MC060543	\$650,000.00	\$0.00	\$650,000.00	\$650,000.00
			B02MC060543	\$634,000.00	\$0.00	\$634,000.00	\$634,000.00
			B03MC060543	\$633,000.00	\$0.00	\$633,000.00	\$633,000.00
			B04MC060543	\$618,000.00	\$0.00	\$618,000.00	\$618,000.00
			B05MC060543	\$583,460.00	\$0.00	\$583,460.00	\$583,460.00
			B06MC060543	\$523,460.00	\$0.00	\$523,460.00	\$523,460.00
		<b>LOMPOC Subtotal:</b>		<b>\$10,489,920.00</b>	<b>\$0.00</b>	<b>\$10,489,920.00</b>	<b>\$10,489,920.00</b>
		<b>EN Subtotal:</b>		<b>\$10,489,920.00</b>	<b>\$0.00</b>	<b>\$10,489,920.00</b>	<b>\$10,489,920.00</b>
PI		LOMPOC	B95MC060543	\$67,200.80	\$0.00	\$67,200.80	\$67,200.80
			B96MC060543	\$171,132.94	\$0.00	\$171,132.94	\$171,132.94
			B97MC060543	\$139,881.60	\$0.00	\$139,881.60	\$139,881.60
			B98MC060543	\$899,310.98	\$0.00	\$899,310.98	\$899,310.98
			B99MC060543	\$319,778.58	\$0.00	\$319,778.58	\$319,778.58
			B00MC060543	\$266,596.82	\$0.00	\$266,596.82	\$266,596.82
			B01MC060543	\$556,109.18	\$0.00	\$556,109.18	\$556,109.18
			B02MC060543	\$278,082.33	\$0.00	\$278,082.33	\$278,082.33
			B03MC060543	\$466,155.03	\$0.00	\$466,155.03	\$466,155.03
			B04MC060543	\$754,813.96	\$0.00	\$754,813.96	\$754,813.96
			B05MC060543	\$165,848.96	\$0.00	\$165,848.96	\$165,848.96
			B06MC060543	\$448,344.68	\$0.00	\$448,344.68	\$448,344.68
			B07MC060543	\$364,676.37	\$0.00	\$364,676.37	\$364,676.37

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

PR01 - HUD Grants and Program Income

Program	Fund Type	Grantee Name	Grant Number	Available to Commit	Available to Draw
CDBG	EN	LOMPOC	B86MC060543	\$0.00	\$0.00
			B88MC060543	\$0.00	\$0.00
			B89MC060543	\$0.00	\$0.00
			B90MC060543	\$0.00	\$0.00
			B91MC060543	\$0.00	\$0.00
			B92MC060543	\$0.00	\$0.00
			B93MC060543	\$0.00	\$0.00
			B94MC060543	\$0.00	\$0.00
			B95MC060543	\$0.00	\$0.00
			B96MC060543	\$0.00	\$0.00
			B97MC060543	\$0.00	\$0.00
			B98MC060543	\$0.00	\$0.00
			B99MC060543	\$0.00	\$0.00
			B00MC060543	\$0.00	\$0.00
			B01MC060543	\$0.00	\$0.00
			B02MC060543	\$0.00	\$0.00
			B03MC060543	\$0.00	\$0.00
			B04MC060543	\$0.00	\$0.00
			B05MC060543	\$0.00	\$0.00
			B06MC060543	\$0.00	\$0.00
		<b>LOMPOC Subtotal:</b>	<b>\$0.00</b>	<b>\$0.00</b>	
		<b>EN Subtotal:</b>	<b>\$0.00</b>	<b>\$0.00</b>	
PI	LOMPOC	B95MC060543	\$0.00	\$0.00	
		B96MC060543	\$0.00	\$0.00	
		B97MC060543	\$0.00	\$0.00	
		B98MC060543	\$0.00	\$0.00	
		B99MC060543	\$0.00	\$0.00	
		B00MC060543	\$0.00	\$0.00	
		B01MC060543	\$0.00	\$0.00	
		B02MC060543	\$0.00	\$0.00	
		B03MC060543	\$0.00	\$0.00	
		B04MC060543	\$0.00	\$0.00	
		B05MC060543	\$0.00	\$0.00	
		B06MC060543	\$0.00	\$0.00	
		B07MC060543	\$0.00	\$0.00	

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

PR01 - HUD Grants and Program Income

Program	Fund Type	Grantee Name	Grant Number	Authorized Amount	Suballocated Amount	Amount Committed to Activities	Net Drawn Amount
CDBG	PI	LOMPOC	B08MC060543	\$120,848.45	\$0.00	\$120,848.45	\$120,848.45
			B09MC060543	\$1,245,830.93	\$0.00	\$1,245,830.93	\$1,245,830.93
			B10MC060543	\$143,986.77	\$0.00	\$143,986.77	\$143,986.77
			B11MC060543	\$37,702.22	\$0.00	\$37,702.22	\$37,702.22
			B12MC060543	\$85,402.81	\$0.00	\$85,402.81	\$85,402.81
			B13MC060543	\$42,079.76	\$0.00	\$42,079.76	\$42,079.76
		<b>LOMPOC Subtotal:</b>		<b>\$6,573,783.17</b>	<b>\$0.00</b>	<b>\$6,573,783.17</b>	<b>\$6,573,783.17</b>
		<b>PI Subtotal:</b>		<b>\$6,573,783.17</b>	<b>\$0.00</b>	<b>\$6,573,783.17</b>	<b>\$6,573,783.17</b>
	RL	LOMPOC	B96MC060543	\$0.00	\$0.00	\$0.00	\$0.00
			<b>LOMPOC Subtotal:</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
	<b>RL Subtotal:</b>		<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	
<b>GRANTEE TOTALS</b>				<b>\$17,063,703.17</b>	<b>\$0.00</b>	<b>\$17,063,703.17</b>	<b>\$17,063,703.17</b>

OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT

PR01 - HUD Grants and Program Income

Program	Fund Type	Grantee Name	Grant Number	Available to Commit	Available to Draw
CDBG	PI	LOMPOC	B08MC060543	\$0.00	\$0.00
			B09MC060543	\$0.00	\$0.00
			B10MC060543	\$0.00	\$0.00
			B11MC060543	\$0.00	\$0.00
			B12MC060543	\$0.00	\$0.00
			B13MC060543	\$0.00	\$0.00
			<b>LOMPOC Subtotal:</b>	<b>\$0.00</b>	<b>\$0.00</b>
	<b>PI Subtotal:</b>	<b>\$0.00</b>	<b>\$0.00</b>		
	RL	LOMPOC	B96MC060543	\$0.00	\$0.00
			<b>LOMPOC Subtotal:</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>RL Subtotal:</b>			<b>\$0.00</b>	<b>\$0.00</b>	
<b>GRANTEE TOTALS</b>			<b>\$0.00</b>	<b>\$0.00</b>	



U.S. Department of Housing and Urban Development  
 Office of Community Planning and Development  
 Integrated Disbursement and Information System  
 CDBG Activity Summary Report (GPR) for Program Year 2012  
 LOMPOC

Date: 16-Sep-2013  
 Time: 14:34  
 Page: 1

**PGM Year:** 1994  
**Project:** 0002 - CONVERTED CDBG ACTIVITIES  
**IDIS Activity:** 2 - CDBG COMMITTED FUNDS ADJUSTMENT

**Status:** Open 6/30/1995 12:00:00 AM  
**Location:** CITY OF LOMPOC  
 LOMPOC, CA 93436  
**Objective:**  
**Outcome:**  
**Matrix Code:** Public Services (General) (05)  
**National Objective:**

**Initial Funding Date:** 01/01/0001  
**Description:** CONVERTED CDBG ACTIVITIES

**Financing**  
 Funded Amount: 3,511,068.71  
 Drawn Thru Program Year: 3,511,068.71  
 Drawn In Program Year: 0.00

**Proposed Accomplishments**

**Actual Accomplishments**

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:					0	0		
Black/African American:					0	0		
Asian:					0	0		
American Indian/Alaskan Native:					0	0		
Native Hawaiian/Other Pacific Islander:					0	0		
American Indian/Alaskan Native & White:					0	0		
Asian White:					0	0		
Black/African American & White:					0	0		
American Indian/Alaskan Native & Black/African American:					0	0		
Other multi-racial:					0	0		
Asian/Pacific Islander:					0	0		
Hispanic:					0	0		
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households: 0

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**PGM Year:** 2010  
**Project:** 0004 - HOUSING  
**IDIS Activity:** 550 - REHABILITATION LOAN #64

**Status:** Open  
**Location:** 601 E Willow Ave Lompoc, CA 93436-7939

**Objective:** Create suitable living environments  
**Outcome:** Sustainability  
**Matrix Code:** Rehab; Single-Unit Residential (14A)      **National Objective:** LMH

**Initial Funding Date:** 04/08/2011

**Financing**

**Description:**  
 REHABILITATION OF A SINGLE FAMILY LOW INCOME SENIOR HOME TO COMPLETE NEEDED UPGRADES AND MAINTENANCE

**Funded Amount:** 17,327.35  
**Drawn Thru Program Year:** 17,327.35  
**Drawn In Program Year:** 0.00

**Proposed Accomplishments**

Housing Units : 1

**Actual Accomplishments**

*Number assisted:*

	<b>Owner</b>		<b>Renter</b>		<b>Total</b>		<b>Person</b>	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	1	0	0	0	1	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households:

	1	0	1
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*Income Category:*

	<b>Owner</b>	<b>Renter</b>	<b>Total</b>	<b>Person</b>
Extremely Low	1	0	1	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	1	0	1	0
Percent Low/Mod	100.0%		100.0%	

**Annual Accomplishments**

<b>Years</b>	<b>Accomplishment Narrative</b>	<b># Benefitting</b>
2010	Purpose of this deferred loan is to make repairs to home of disabled senior household. Proposed repairs include: removal and replacement of 45-yr old wood shake roof; replacement of rain gutters to deter water from remaining at foundation of house, which has evidence of settling problems. To date, roof has been replaced and old rain gutters removed.	

**PGM Year:** 2010  
**Project:** 0005 - PUBLIC FACILITIES  
**IDIS Activity:** 552 - NEW SENIOR COMMUNITY CENTER CONSTRUCTION

Status: Open  
 Location: 1120 W Ocean Ave Lompoc, CA 93436-5610

Objective: Create economic opportunities  
 Outcome: Availability/accessibility  
 Matrix Code: Senior Centers (03A) National Objective: LMC

**Initial Funding Date:** 04/12/2011

**Description:**  
 CONSTRUCT FACILITY TO ESTABLISH A NEW SENIOR COMMUNITY CENTER

**Financing**

Funded Amount: 1,034,942.00  
 Drawn Thru Program Year: 1,034,942.00  
 Drawn In Program Year: 0.00

**Proposed Accomplishments**

Public Facilities : 1

**Actual Accomplishments**

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households: 0 0 0

*Income Catearv:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0

Percent Low/Mod

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2010	The Dick DeWees Community and Senior Center is currently under construction. The construction contract with AWI Builders was executed in October 2010. Since that time, the contractor has completed interior demolition and extensive exterior improvements. Two change orders, due to conditions discovered after construction began have delayed the completion of the project beyond the August 2011 completion date. Harris & Associates is providing detailed construction progress reports to staff and City Council. Rough interior underground plumbing is completed, HVAC ductwork installed, conduit installed in framed areas, new interior building columns installed, framing, and framing of the new entryway, including skylight is in place.	

**PGM Year:** 2011  
**Project:** 0006 - HUMAN SERVICES  
**IDIS Activity:** 554 - LEGAL AID FOUNDATION FAIR HOUSING

**Status:** Open  
**Location:** 106 S C St Lompoc, CA 93436-7339  
**Objective:** Create suitable living environments  
**Outcome:** Sustainability  
**Matrix Code:** Fair Housing Activities (if CDGS, then  
**National Objective:** LMC

**Initial Funding Date:** 08/17/2012

**Financing**  
 Funded Amount: 4,946.53  
 Drawn Thru Program Year: 4,946.53  
 Drawn In Program Year: 0.00

**Description:**  
 PROVIDES LOW INCOME PERSONS WHICH QUALITY LEGAL REPRESENTATION, COUNSELING & EDUCATION TO ENSURE SAFE SHELTER, ADEQUATE INCOME & PROTECTION FROM DOMESTIC VIOLENCE & ELDER ABUSE.

**Proposed Accomplishments**

People (General) : 39

**Actual Accomplishments**

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	95	31
Black/African American:	0	0	0	0	0	0	9	0
Asian:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	4	2
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>110</b>	<b>33</b>

Female-headed Households: 0 0 0

*Income Catearv:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	85
Low Mod	0	0	0	18
Moderate	0	0	0	7
Non Low Moderate	0	0	0	0
Total	0	0	0	110
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2011	This fiscal year the agency has provided a staffed office, tenant interviews, newspaper ad checks, adult education and training for volunteers to conduct random fair housing test of landlords' properties within the city limits. Out of the 5 landlord properties and/or property management companies tested per fair housing laws there were no overt signs of discrimination.	

**PGM Year:** 2011  
**Project:** 0006 - HUMAN SERVICES  
**IDIS Activity:** 555 - FAMILY SERVICE AGENCY (HEALTHY START FAMILY RESOURCE CENTER)

Status: Completed 9/28/2012 12:00:00 AM Objective: Create suitable living environments  
 Location: 320 N J St Lompoc, CA 93436-5925 Outcome: Availability/accessibility  
 Matrix Code: Public Services (General) (05) National Objective: LMC

**Initial Funding Date:** 08/23/2012

**Financing**  
 Funded Amount: 7,980.00  
 Drawn Thru Program Year: 7,980.00  
 Drawn In Program Year: 0.00

**Description:**  
 TO SUPPORT AND STRENGTHEN INDIVIDUALS AND FAMILIES WHO FACE POVERTY, HOMELESSNESS, FAMILY VIOLENCE, SUBSTANCE ABUSE, AND/OR UNEMPLOYMENT THROUGH OUTREACH, ASSESSMENT AND CASE MGMT.

**Proposed Accomplishments**

People (General) : 2,229

**Actual Accomplishments**

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	113	0
Black/African American:	0	0	0	0	0	0	16	0
Asian:	0	0	0	0	0	0	9	0
American Indian/Alaskan Native:	0	0	0	0	0	0	1	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	14	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	1,417	1,417
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,570</b>	<b>1,417</b>

Female-headed Households: 0 0 0

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	1,027
Low Mod	0	0	0	231
Moderate	0	0	0	312
Non Low Moderate	0	0	0	0
Total	0	0	0	1,570
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2011	<p>Narrative as Provided by Agency: For Fiscal year 2011-12 the Dorothy Jackson Family Resource Center had slightly lower numbers for cases of service for unduplicated clients. We attribute the decrease from the projected target to three things:</p> <ol style="list-style-type: none"> <li>1. The Toys 4 Tots program saw a slight decrease in enrollments. This meant that we weren't able to evaluate as many children or their families to see if they were in need of our services.</li> <li>2. Due to changes in funding from other sources, we did not do as much Kindergarten Readiness work, which reduced our unduplicated numbers.</li> <li>3. Finally, due to the severity of issues that are presented in families, we have seen an increase in the number of families we case manage. This means that more time is spent working with the same family and our number are therefore not unduplicated.</li> </ol> <p>If you have any further questions please don't hesitate to contact me at any time. Thank you for giving us the opportunity to explain the lower numbers this year. We are honored to have the City's continued partnership.</p>	

**PGM Year:** 2011  
**Project:** 0006 - HUMAN SERVICES  
**IDIS Activity:** 556 - FOODBANK OF SANTA BARBARA COUNTY

**Status:** Completed 9/28/2012 12:00:00 AM  
**Location:** 490 W Foster Rd Santa Maria, CA 93455-3615

**Objective:** Create suitable living environments  
**Outcome:** Affordability  
**Matrix Code:** Food Banks (05W) **National Objective:** LMC

**Initial Funding Date:** 08/24/2012

**Financing**

Funded Amount: 4,678.00  
 Drawn Thru Program Year: 4,678.00  
 Drawn In Program Year: 0.00

**Description:**

FUNDING SUPPORT TO SANTA MARIA WAREHOUSE. 20 LOMPOC AGENCIES RECEIVE FOOD FROM THE FOODBANK WHO EITHER PREPARE IT ON SITE OR DISTRIBUTE IT TO CLIENTS FOR USE AT CLIENTS HOME.

**Proposed Accomplishments**

People (General) : 5,599

**Actual Accomplishments**

*Number assisted:*

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	13,122	8,915
Black/African American:	0	0	0	0	0	0	911	36
Asian:	0	0	0	0	0	0	151	21
American Indian/Alaskan Native:	0	0	0	0	0	0	154	31
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	85	15
American Indian/Alaskan Native & White:	0	0	0	0	0	0	211	49
Asian White:	0	0	0	0	0	0	78	15
Black/African American & White:	0	0	0	0	0	0	140	48
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	50	5
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>14,902</b>	<b>9,135</b>

Female-headed Households: 0 0 0

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	14,902
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	14,902
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2011	There are 34 agencies and programs in Lompoc that receive food supplied by the Foodbank of Santa Barbara County. Some of the products supplied are fresh produced which contributes to the improvement of the nutritional health of low-income individuals and families.	

**PGM Year:** 2011  
**Project:** 0006 - HUMAN SERVICES  
**IDIS Activity:** 557 - DOMESTIC VIOLENCE SOLUTIONS

**Status:** Completed 9/28/2012 12:00:00 AM  
**Location:** Address Suppressed

**Objective:** Create suitable living environments  
**Outcome:** Availability/accessibility  
**Matrix Code:** Battered and Abused Spouses (05G)      **National Objective:** LMC

**Initial Funding Date:** 08/24/2012

**Financing**

Funded Amount: 537.00  
 Drawn Thru Program Year: 537.00  
 Drawn In Program Year: 0.00

**Description:**

WORKING TO END THE INTERGENERATIONAL CYCLE OF DOMESTIC VIOLENCE IN THE LIVES OF ABUSED WOMEN & CHILDREN & TO HELP THEM BEGIN JOURNEY TOWARD SELF SUFFICIENCY & A VIOLENCE FREE LIFE

**Proposed Accomplishments**

People (General) : 43

**Actual Accomplishments**

*Number assisted:*

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	53	40
Black/African American:	0	0	0	0	0	0	5	3
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	2	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>60</b>	<b>43</b>

Female-headed Households: 0      0      0

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	58
Low Mod	0	0	0	2
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	60
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2011	The program continues to provide services in line with our goals and objectives. Our two full-time case managers provide DV/RT Response and hotline hours on a continuing basis, and we are proud of and grateful for their dedication, as well as that of our volunteers and community partners.	

**PGM Year:** 2011  
**Project:** 0006 - HUMAN SERVICES  
**IDIS Activity:** 558 - LEGAL AID FOUNDATION OF SB EMERGENCY SRV

**Status:** Completed 9/28/2012 12:00:00 AM  
**Location:** 106 S C St Lompoc, CA 93436-7339

**Objective:** Provide decent affordable housing  
**Outcome:** Affordability  
**Matrix Code:** Legal Services (05C) **National Objective:** LMC

**Initial Funding Date:** 08/17/2012

**Financing**

**Description:**  
 PROVIDES LOW INCOME PERSONS & SENIORS WHICH QUALITY LEGALREPRESENTATION, COUNSELING & EDUCATION TO ENSURE SAFE SHEL- TER, ADEQUATE INCOME & PROTECTION FROM VIOLENCE & ABUSE

Funded Amount: 9,405.00  
 Drawn Thru Program Year: 9,405.00  
 Drawn In Program Year: 0.00

**Proposed Accomplishments**

People (General) : 39

**Actual Accomplishments**

*Number assisted:*

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	128	43
Black/African American:	0	0	0	0	0	0	10	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	1	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	2	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	6	3
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>147</b>	<b>46</b>

Female-headed Households: 0 0 0

*Income Catearv:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	100
Low Mod	0	0	0	34
Moderate	0	0	0	13
Non Low Moderate	0	0	0	0
Total	0	0	0	147
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2011	Accomplishment Narrative From Agency: As is evidence from the number of clients served, there is a huge demand for our services in Lompoc. We were projected to service 39 persons, and have almost cuadrupled that goal. Our Lompoc Office is not open full time due to limited resources - we expect that we could serve a lot more people if we were given the resources to do so.	

**PGM Year:** 2011  
**Project:** 0001 - PROGRAM ADMINISTRATION  
**IDIS Activity:** 559 - GENERAL ADMINISTRATION - CDBG PROGRAM

**Status:** Completed 9/28/2012 12:00:00 AM  
**Location:** ,

**Objective:**  
**Outcome:**  
**Matrix Code:** General Program Administration (21A)      **National Objective:**

**Initial Funding Date:** 12/21/2011

**Financing**

**Funded Amount:** 26,092.44  
**Drawn Thru Program Year:** 26,092.44  
**Drawn In Program Year:** 0.00

**Description:**

PROVIDE EFFECTIVE, EFFICIENT DESIGN AND ADMINISTRATION OF CDBG PROGRAMS TO MAXIMIZE COMMUNITY BENEFIT TO LOWER AND VERY LOW INCOME HOUSEHOLDS. EXAMPLES OF ACTIVITIES INCLUDE PREPARING PROGRAM BUDGETS, SCHEDULES AND AMENDMENTS; DEVELOPING SYSTEMS FOR ASSURING COMPLIANCE WITH PROGRAM REQUIREMENT; PREPARING REPORTS AND OTHER COMPLIANCE DOCUMENTS; AND DEVELOPING AGREEMENTS WITH SUBRECIPIENTS AND CONTRACTORS.

**Proposed Accomplishments**

**Actual Accomplishments**

*Number assisted:*

	<b>Owner</b>		<b>Renter</b>		<b>Total</b>		<b>Person</b>	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:					0	0		
Black/African American:					0	0		
Asian:					0	0		
American Indian/Alaskan Native:					0	0		
Native Hawaiian/Other Pacific Islander:					0	0		
American Indian/Alaskan Native & White:					0	0		
Asian White:					0	0		
Black/African American & White:					0	0		
American Indian/Alaskan Native & Black/African American:					0	0		
Other multi-racial:					0	0		
Asian/Pacific Islander:					0	0		
Hispanic:					0	0		
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households: 0

*Income Category:*

	<b>Owner</b>	<b>Renter</b>	<b>Total</b>	<b>Person</b>
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**PGM Year:** 2011  
**Project:** 0001 - PROGRAM ADMINISTRATION  
**IDIS Activity:** 560 - REHABILITATION LOAN ADMINISTRATION

**Status:** Completed 9/28/2012 12:00:00 AM  
**Location:** 100 Civic Center Plz Lompoc, CA 93436-6916

**Objective:** Create suitable living environments  
**Outcome:** Sustainability  
**Matrix Code:** Rehabilitation Administration (14H)      **National Objective:** LMC

**Initial Funding Date:** 01/13/2012

**Financing**

**Funded Amount:** 140,689.63  
**Drawn Thru Program Year:** 140,689.63  
**Drawn In Program Year:** 0.00

**Description:**

ADMINISTRATION COSTS TO SUPPORT THE MULTI-FAMILY AND SINGLE-FAMILY HOUSING REHABILITATION LOAN PROGRAMS. COST CONSIST OF LOAN PROCESSING, LOAN SERVICING (POSTING PAYMENTS, LOAN PAYOFFS, INSURANCE, ETC.), PERIODIC UPDATES TO PROGRAM AND MANAGING HOME CONSORTIUM MONEY.

**Proposed Accomplishments**

Organizations : 1

**Actual Accomplishments**

*Number assisted:*

	<b>Owner</b>		<b>Renter</b>		<b>Total</b>		<b>Person</b>	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	3	2
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>2</b>

Female-headed Households: 0      0      0

*Income Cateorv:*

	<b>Owner</b>	<b>Renter</b>	<b>Total</b>	<b>Person</b>
Extremely Low	0	0	0	0
Low Mod	0	0	0	2
Moderate	0	0	0	1
Non Low Moderate	0	0	0	0
Total	0	0	0	3
Percent Low/Mod				100.0%

**Annual Accomplishments**

**Years**      **Accomplishment Narrative**      **# Benefitting**

2011

**PGM Year:** 2011  
**Project:** 0004 - HOUSING  
**IDIS Activity:** 561 - 109 North "Y" Street - Rehabilitation Loan# 67

**Status:** Completed 9/28/2012 12:00:00 AM  
**Location:** 109 N Y St Lompoc, CA 93436-5514

**Objective:** Create suitable living environments  
**Outcome:** Sustainability  
**Matrix Code:** Rehab; Single-Unit Residential (14A)      **National Objective:** LMH

**Initial Funding Date:** 01/13/2012

**Financing**

**Description:**

Rehabilitation of a low-income senior's single family residence. New roof, rain gutters and exterior paint.

**Funded Amount:** 9,953.16  
**Drawn Thru Program Year:** 9,953.16  
**Drawn In Program Year:** 0.00

**Proposed Accomplishments**

Housing Units : 1

**Actual Accomplishments**

*Number assisted:*

	<b>Owner</b>		<b>Renter</b>		<b>Total</b>		<b>Person</b>	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	1	1	0	0	1	1	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>

Female-headed Households: 0 0 0

*Income Category:*

	<b>Owner</b>	<b>Renter</b>	<b>Total</b>	<b>Person</b>
Extremely Low	0	0	0	0
Low Mod	1	0	1	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	1	0	1	0
Percent Low/Mod	100.0%		100.0%	

**Annual Accomplishments**

**Years**      **Accomplishment Narrative**      **# Benefitting**

2011

**PGM Year:** 2011  
**Project:** 0006 - HUMAN SERVICES  
**IDIS Activity:** 562 - Good Samaritan Shelter, Inc. (MARKS HOUSE)

Status: Open  
 Location: 203 N N St Lompoc, CA 93436-5830

Objective: Create suitable living environments  
 Outcome: Availability/accessibility  
 Matrix Code: Operating Costs of Homeless/AIDS National Objective: LMC

**Initial Funding Date:** 08/23/2012

**Financing**  
 Funded Amount: 27,045.11  
 Drawn Thru Program Year: 27,045.11  
 Drawn In Program Year: 165.22

**Description:**  
 SHELTER FOR 6 HOMELESS FAMILIES MAXIMUM OF 90 DAYS. DURING STAY FAMILIES RECIEVE COUNSELING, CASE MANAGEMENT, REFERRALS TO SOCIAL SERVICES, EMPLOYMENT AND AFFORDABLE HOUSING RESOURCES.

**Proposed Accomplishments**

People (General) : 56

**Actual Accomplishments**

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	21	14
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	1	1
Asian White:	0	0	0	0	0	0	5	5
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>27</b>	<b>20</b>

Female-headed Households: 0 0 0

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	25
Low Mod	0	0	0	2
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	27
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2011	Please note, accomplishments total is solely from the 3rd and 4th quarter. Good Samaritan started the administer the program in the 3rd quarter of the fiscal year.	

**PGM Year:** 2011  
**Project:** 0004 - HOUSING  
**IDIS Activity:** 563 - 711 North Third Street - Rehabilitation Loan# 68

Status: Completed 9/10/2013 1:33:42 PM  
 Location: 711 N Third St Lompoc, CA 93436-4729

Objective: Create suitable living environments  
 Outcome: Sustainability  
 Matrix Code: Rehab; Single-Unit Residential (14A) National Objective: LMH

**Initial Funding Date:** 08/24/2012

**Financing**

Funded Amount: 24,900.00  
 Drawn Thru Program Year: 24,900.00  
 Drawn In Program Year: 3,367.00

**Description:**

Rehabilitation of a low-income senior's single family residence. Installation of replacement non working heating unit with new, mold abatement, termite tenting, and new roof.

**Proposed Accomplishments**

Housing Units : 1

**Actual Accomplishments**

*Number assisted:*

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	1	0	0	0	1	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households:

1 0 1

*Income Catearv:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	1	0	1	0
Non Low Moderate	0	0	0	0
Total	1	0	1	0
Percent Low/Mod	100.0%		100.0%	

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2012	Installation of new roof, replaced rotted fascia, installed new pressure regulator, replaced TPR pipe per code, corrected GFCI protection outside plug at back, installed one GFCI outlet in kitchen, master bath and hall bath, identified breakers, installed blank cover plate at panel junction box, replaced mold and moisture damage on walls and ceilings in master bedroom and closet, replaced broken windows in master bedroom, furnished and installed new carbon monoxide detector and new smoke detector in hall, replaced hall bathroom toilet with new and new supply line.	

**PGM Year:** 2011  
**Project:** 0004 - HOUSING  
**IDIS Activity:** 564 - 424 North Daisy Street - Rehabilitation Loan #69

Status: Completed 9/10/2013 1:12:53 PM  
 Location: 424 N Daisy St Lompoc, CA 93436-6209

Objective: Create suitable living environments  
 Outcome: Sustainability  
 Matrix Code: Rehab; Single-Unit Residential (14A) National Objective: LMH

**Initial Funding Date:** 08/24/2012

**Financing**  
 Funded Amount: 29,767.95  
 Drawn Thru Program Year: 29,767.95  
 Drawn In Program Year: 28,702.95

**Description:**  
 Rehabilitation of a low-income senior's single family residence. Installation of new flooring and energy efficient windows, termite Abatement and repair and dryrot repair.

**Proposed Accomplishments**

Housing Units : 1

**Actual Accomplishments**

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	1	0	0	0	1	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households: 0 0 0

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	1	0	1	0
Non Low Moderate	0	0	0	0
Total	1	0	1	0
Percent Low/Mod	100.0%		100.0%	

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2012	This rehabilitation completed dry-rot and termite repair, termite fumigation and tenting, installation of closet doors and carpeting and replacement of windows and sliding glass door with energy efficient windows and a sliding glass door for a low-income, senior occupied, single residence. Please note, Lead Base Paint Inspection for subject property, dated 7/13/11, from Tabbara Corporation reported "no lead hazards were identified at the subject site."	

**PGM Year:** 2011  
**Project:** 0005 - PUBLIC FACILITIES  
**IDIS Activity:** 565 - Lompoc Public Library Roofing Project

**Status:** Open  
**Location:** 501 E North Ave Lompoc, CA 93436-3404

**Objective:** Create suitable living environments  
**Outcome:** Sustainability  
**Matrix Code:** Public Facilities and Improvement  
**National Objective:** LMA

**Initial Funding Date:** 08/24/2012

**Financing** **Description:** Installation of New Roof on Public Library for current on is leaking.

Funded Amount: 84,549.30  
Drawn Thru Program Year: 84,549.30  
Drawn In Program Year: 75,551.06

**Proposed Accomplishments**

Public Facilities : 1  
Total Population in Service Area: 37,645  
Census Tract Percent Low / Mod: 54.30

**Annual Accomplishments**

<u>Years</u>	<u>Accomplishment Narrative</u>	<u># Benefitting</u>
2011		

**PGM Year:** 2011  
**Project:** 0006 - HUMAN SERVICES  
**IDIS Activity:** 566 - CITY OF LOMPOC PR&UF (SUMMER DROP-IN)

**Status:** Completed 9/28/2012 12:00:00 AM  
**Location:** 125 W Walnut Ave Lompoc, CA 93436-6782

**Objective:** Create suitable living environments  
**Outcome:** Affordability  
**Matrix Code:** Child Care Services (05L) **National Objective:** LMC

**Initial Funding Date:** 09/05/2012

**Financing**

Funded Amount: 4,350.00  
 Drawn Thru Program Year: 4,350.00  
 Drawn In Program Year: 0.00

**Description:**

TO PROVIDE AFFORDABLE AND QUALITY CHILD WATCH SERVICES FOR CHILDREN AGES 6-12 YEARS OLD OF LOW-INCOME FAMILIES WHILE PROVIDING SAFE, ORGANIZED, SUPERVISED AND FUN PROGRAMS.

**Proposed Accomplishments**

People (General) : 49

**Actual Accomplishments**

*Number assisted:*

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	55	0
Black/African American:	0	0	0	0	0	0	4	0
Asian:	0	0	0	0	0	0	4	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	10	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	4	0
Other multi-racial:	0	0	0	0	0	0	67	67
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>144</b>	<b>67</b>

Female-headed Households: 0 0 0

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	64
Low Mod	0	0	0	30
Moderate	0	0	0	50
Non Low Moderate	0	0	0	0
Total	0	0	0	144
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
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2011

**PGM Year:** 2012  
**Project:** 0006 - HUMAN SERVICES  
**IDIS Activity:** 567 - CITY OF LOMPOC PR&UF (SUMMER DROP-IN)

**Status:** Open  
**Location:** 125 W Walnut Ave Lompoc, CA 93436-6782

**Objective:** Create suitable living environments  
**Outcome:** Availability/accessibility  
**Matrix Code:** Youth Services (05D) **National Objective:** LMC

**Initial Funding Date:** 09/03/2013

**Financing**

Funded Amount: 4,650.00  
 Drawn Thru Program Year: 4,650.00  
 Drawn In Program Year: 4,650.00

**Description:**

TO PROVIDE AFFORDABLE AND QUALITY CHILD WATCH SERVICES FOR CHILDREN AGES 6-12 YEARS OLD OF LOW-INCOME FAMILIES WHILE PROVIDING SAFE, ORGANIZED, SUPERVISED AND FUN PROGRAMS.

**Proposed Accomplishments**

People (General) : 46

**Actual Accomplishments**

*Number assisted:*

	<b>Owner</b>		<b>Renter</b>		<b>Total</b>		<b>Person</b>	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	17	0
Black/African American:	0	0	0	0	0	0	6	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	2	0
Asian White:	0	0	0	0	0	0	3	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	42	42
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>70</b>	<b>42</b>

Female-headed Households: 0 0 0

*Income Cateorv:*

	<b>Owner</b>	<b>Renter</b>	<b>Total</b>	<b>Person</b>
Extremely Low	0	0	0	12
Low Mod	0	0	0	42
Moderate	0	0	0	16
Non Low Moderate	0	0	0	0
Total	0	0	0	70
Percent Low/Mod				100.0%

**Annual Accomplishments**

<b>Years</b>	<b>Accomplishment Narrative</b>	<b># Benefitting</b>
2012	Within the FY 2012-13 we have exceeded our goal to serve 46 low income persons and have served 70.	



**PGM Year:** 2012  
**Project:** 0001 - PROGRAM ADMINISTRATION  
**IDIS Activity:** 569 - LEGAL AID FOUNDATION FAIR HOUSING

Status: Open  
 Location: ,

Objective:  
 Outcome:  
 Matrix Code: Fair Housing Activities (subject to 20% National Objective:

**Initial Funding Date:** 08/13/2013

**Financing**  
 Funded Amount: 6,603.47  
 Drawn Thru Program Year: 6,603.47  
 Drawn In Program Year: 6,603.47

**Description:**  
 IN FY 2012-13 THERE WAS FAIR HOUSING TESTING CONDUCTED ON A COMBINATION OF 7 APARTMENT COMPLEXES AND PROPERTY MANAGEMENT FIRMS, 90 LOW INCOME QUALIFIED CLIENTS WITH FAIR HOUSING CASES WERE ASSISTED AND RESOLVED, AND THE AGENCY PROVIDED 3 FAIR HOUSING AWARENESS WORKSHOPS WITHIN THE CITY.

**Proposed Accomplishments**

**Actual Accomplishments**

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:					0	0		
Black/African American:					0	0		
Asian:					0	0		
American Indian/Alaskan Native:					0	0		
Native Hawaiian/Other Pacific Islander:					0	0		
American Indian/Alaskan Native & White:					0	0		
Asian White:					0	0		
Black/African American & White:					0	0		
American Indian/Alaskan Native & Black/African American:					0	0		
Other multi-racial:					0	0		
Asian/Pacific Islander:					0	0		
Hispanic:					0	0		
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households: 0

Income Catearv:	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**PGM Year:** 2012  
**Project:** 0004 - HOUSING  
**IDIS Activity:** 570 - REHABILITATION LOAN ADMINISTRATION

Status: Open  
 Location: 100 Civic Center Plz Lompoc, CA 93436-6916

Objective: Create suitable living environments  
 Outcome: Sustainability  
 Matrix Code: Rehabilitation Administration (14H) National Objective: LMC

**Initial Funding Date:** 08/12/2013

**Financing**  
 Funded Amount: 132,605.02  
 Drawn Thru Program Year: 132,605.02  
 Drawn In Program Year: 132,605.02

**Description:**  
 ADMINISTRATION COSTS TO SUPPORT THE MULTI-FAMILY AND SINGLE-FAMILY HOUSING REHABILITATION LOAN PROGRAMS. COST CONSIST OF LOAN PROCESSING, LOAN SERVICING (POSTING PAYMENTS, LOAN PAYOFFS, INSURANCE, ETC.), PERIODIC UPDATES TO PROGRAM AND MANAGING HOME CONSORTIUM MONEY.

**Proposed Accomplishments**

Organizations : 1

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households: 0 0 0

Income Cateorv:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**PGM Year:** 2012  
**Project:** 0001 - PROGRAM ADMINISTRATION  
**IDIS Activity:** 571 - GENERAL ADMINISTRATION - CDBG PROGRAM

Status: Open  
 Location: ,

Objective:  
 Outcome:  
 Matrix Code: General Program Administration (21A) National Objective:

**Initial Funding Date:** 08/12/2013

**Financing**  
 Funded Amount: 29,780.09  
 Drawn Thru Program Year: 29,780.09  
 Drawn In Program Year: 29,780.09

**Description:**  
 PROVIDE EFFECTIVE, EFFICIENT DESIGN AND ADMINISTRATION OF CDBG PROGRAMS TO MAXIMIZE COMMUNITY BENEFIT TO LOWER AND VERY LOW INCOME HOUSEHOLDS. EXAMPLES OF ACTIVITIES INCLUDE PREPARING PROGRAM BUDGETS, SCHEDULES AND AMENDMENTS; DEVELOPING SYSTEMS FOR ASSURING COMPLIANCE WITH PROGRAM REQUIREMENT; PREPARING REPORTS AND OTHER COMPLIANCE DOCUMENTS; AND DEVELOPING AGREEMENTS WITH SUBRECIPIENTS AND CONTRACTORS.

**Proposed Accomplishments**

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:					0	0		
Black/African American:					0	0		
Asian:					0	0		
American Indian/Alaskan Native:					0	0		
Native Hawaiian/Other Pacific Islander:					0	0		
American Indian/Alaskan Native & White:					0	0		
Asian White:					0	0		
Black/African American & White:					0	0		
American Indian/Alaskan Native & Black/African American:					0	0		
Other multi-racial:					0	0		
Asian/Pacific Islander:					0	0		
Hispanic:					0	0		
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households: 0

Income Catearv:

	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
Total	0	0	0	0
Percent Low/Mod				

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**PGM Year:** 2012  
**Project:** 0006 - HUMAN SERVICES  
**IDIS Activity:** 572 - COMMUNITY PARTNERS IN CARING

Status: Open  
 Location: 111 S I St Lompoc, CA 93436-6700

Objective: Create suitable living environments  
 Outcome: Sustainability  
 Matrix Code: Senior Services (05A) National Objective: LMC

**Initial Funding Date:** 09/03/2013

**Financing**  
 Funded Amount: 3,300.00  
 Drawn Thru Program Year: 3,300.00  
 Drawn In Program Year: 3,300.00

**Description:**  
 VOLUNTEERS WORKING TOGETHER WITH OTHER SENIOR AGENCIES TO PROVIDE CRITICAL SUPPORT & ASSISTANCE, TRANSPORTATION, EDUCATION & REFERRALS AIMED TO KEEP ELDERLY AND DISABLED PERSONS INDEPENDENT.

**Proposed Accomplishments**

People (General) : 155

**Actual Accomplishments**

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	208	26
Black/African American:	0	0	0	0	0	0	10	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>218</b>	<b>26</b>

Female-headed Households: 0 0 0

*Income Catearv:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	218
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	218
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2012	We have exceed our goal for cases and number of low income persons served and the request for services continue to increase. Thank you for your support.	

**PGM Year:** 2012  
**Project:** 0006 - HUMAN SERVICES  
**IDIS Activity:** 573 - COMMUNITY ACTION COMMISSION/SR NUTRITION

**Status:** Open  
**Location:** 1040 W Ocean Ave Lompoc, CA 93436-6518

**Objective:** Create suitable living environments  
**Outcome:** Availability/accessibility  
**Matrix Code:** Senior Services (05A) **National Objective:** LMC

**Initial Funding Date:** 09/03/2013

**Financing**

**Description:**  
 LOMPOC SENIOR NUTRITION PROGRAM PROVIDES NUTRITIOUS HOT MEALS TO LOW INCOME SENIORS ON A DONATION BASIS IN A COMMUNITY DINING CENTER THAT PROMOTES SOCIALIZATION & RECREATIONAL ACTIVITIES

Funded Amount: 10,000.00  
 Drawn Thru Program Year: 10,000.00  
 Drawn In Program Year: 10,000.00

**Proposed Accomplishments**

People (General) : 36

**Actual Accomplishments**

*Number assisted:*

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	140	32
Black/African American:	0	0	0	0	0	0	4	0
Asian:	0	0	0	0	0	0	2	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>146</b>	<b>32</b>

Female-headed Households: 0 0 0

*Income Catearv:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	86
Low Mod	0	0	0	46
Moderate	0	0	0	14
Non Low Moderate	0	0	0	0
Total	0	0	0	146
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2012	We are over our projection of clients. The seniors enjoyed lunch with us man more times then our projections - serving a total of 6396 lunches throughout the year.	

**PGM Year:** 2012  
**Project:** 0006 - HUMAN SERVICES  
**IDIS Activity:** 574 - Family Service Agency (Big Brothers & Big Sisters Program)

Status: Open Objective: Create suitable living environments  
 Location: 320 N J St Lompoc, CA 93436-5925 Outcome: Sustainability  
 Matrix Code: Youth Services (05D) National Objective: LMC

**Initial Funding Date:** 09/06/2013

**Financing**  
 Funded Amount: 2,000.00  
 Drawn Thru Program Year: 2,000.00  
 Drawn In Program Year: 2,000.00

**Description:**  
 THE BIG BROTHER BIG SISTER PROGRAM IS A PREVENTION-BASED MENTORING PROGRAM THAT HELPS YOUNG PEOPLE WHO FACE ADVERSITY ACHIEVE POSITIVE, MEASURABLE OUTCOMES, INCLUDING EDUCATIONAL SUCCESS, HIGHER ASPIRATION AND CONFIDENCE, IMPROVED RELATIONSHIPS AND RISKY BEHAVIOR AVOIDANCE.

**Proposed Accomplishments**

People (General) : 100

**Actual Accomplishments**

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	106	97
Black/African American:	0	0	0	0	0	0	16	0
Asian:	0	0	0	0	0	0	6	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	2	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>130</b>	<b>97</b>

Female-headed Households: 0 0 0

*Income Category:*

	Owner	Renter	Total	Person
Extremely Low	0	0	0	73
Low Mod	0	0	0	45
Moderate	0	0	0	12
Non Low Moderate	0	0	0	0
Total	0	0	0	130
Percent Low/Mod				100.0%

**Annual Accomplishments**

Years	Accomplishment Narrative	# Benefitting
2012	This year BBBS achieved 130% of its goal for number of youth served. Despite this, we continue to have a waiting list for services and are challenged to find an adequate number of volunteer mentors to meet the need. We are meeting this challenge directly by increasing outreach events at local businesses and throughout the community in order to increase the number of volunteer Bigs in our program. We have attended outreach events at Vandenberg Air Force Base as well as local businesses and have more planned throughout 2013. Through reaching out to local businesses as well as attending community events such as health fairs, we anticipate increasing our volunteer force and serving more at-risk youth in 2013-2014. Family Service Agency continues to make an enormous and lasting impact amongst at-risk youth in our community through the BBBS program. According to one parent, whose child is a participant in the program, "As a result of having a Big Brother my son fits in better with youth his age, is more willing to try sports and is more understanding of my situation as a single parent."	

**PGM Year:** 2012  
**Project:** 0004 - HOUSING  
**IDIS Activity:** 576 - MARKS HOUSE RENOVATION

Status: Open  
 Location: 203 N N St Lompoc, CA 93436-5830

Objective: Create suitable living environments  
 Outcome: Availability/accessibility  
 Matrix Code: Rehab; Other Publicly-Owned  
 National Objective: LMH

**Initial Funding Date:** 09/03/2013

**Financing**

Funded Amount: 904.94  
 Drawn Thru Program Year: 904.94  
 Drawn In Program Year: 904.94

**Description:**

Marks House - Walnut House Rehabilitation: Includes demolition, kitchen cabinets/counter, energy efficient appliances, drywall repair, electrical re-wire, carpentry, plumbing, interior and exterior paint and flooring. Main House: Replacement of cast iron drains, yard drainage system and landscaping. Caretaker House: Includes demolition, framing, electrical, plumbing, heating, insulation, drywall, siding, cabinetry, painting, flooring and energy efficient appliances.

**Proposed Accomplishments**

Housing Units : 3

**Actual Accomplishments**

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
<b>Total:</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Female-headed Households: 0 0 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0

Percent Low/Mod

**Annual Accomplishments**

No data returned for this view. This might be because the applied filter excludes all data.

**Total Funded Amount:** \$5,131,879.70  
**Total Drawn Thru Program Year:** \$5,131,879.70  
**Total Drawn In Program Year:** \$301,433.75

# **ANNUAL REPORT ON FAIR HOUSING EDUCATION, TESTING AND RESOLUTION CITY OF LOMPOC, CA**

**Report covers period from July 1, 2012 to June 30, 2013**

**Legal Aid Foundation (LAF) of Santa Barbara County** has provided the following services in fulfillment of the 2012-2013 contract with the City of Lompoc, California, for the purpose of educating the public in Fair Housing practices and testing the local market to verify compliance with Fair Housing laws with regard to any instances of discrimination based on race, religion, ethnicity, gender preference, marital status, and size and makeup of family.

## **OFFICE AND STAFF:**

LAF has provided an office where legal services to the low income population are offered, currently at 604 East Ocean Street, Suite B in the city of Lompoc with a local phone number of (805) 736-6582. This office is staffed from 9:00 a.m. to 4:00 p.m. on Mondays through Thursdays, and services are provided by one full time Staff Attorney, Leroy Gee, one part time Staff Attorney, Jennifer R. Smith, and one full time Intake Coordinator, Anna Rounds.

## **TENANT INTERVIEWS:**

Between July 1, 2012 and June 30, 2013, in the course of its everyday operations, Legal Aid attorneys have interviewed, either by phone or in person, 90 CDBG income-qualified clients from the City of Lompoc who have had landlord/tenant issues. The majority of tenant disputes involved non-payment of rent and habitability issues with the remainder involving evictions, retaliatory and discriminatory conduct by landlords. Often when LAF became involved in landlord/tenant disputes on the tenant's behalf, staff attorneys educated landlords on landlord tenant law as contained in the California Civil Code, Code of Civil Procedure and/or Health and Safety Code and related case law and were able to work out a reasonable resolution for their clients.

## **ADVERTISEMENTS CHECKED:**

LAF staff performed spot checks for discriminatory language in real estate ads of the classified section of the Lompoc Record, the Santa Maria times and listings on on-line services such as Craigslist. Discriminatory language was not seen this year.

## **ADULT EDUCATION:**

Legal Aid Staff office made housing related educational presentations in to county employees and residents of Lompoc during the past 12 months concerning the rights of single parents, minorities and persons with disabilities to equal housing opportunity. Some presentations were made in conjunction with discussing other related

services at LAF. On February 7, 2013 LAF made a presentation to Adult Protective Services supervisors. On May 9, 2013 LAF made a presentation to Child Welfare Services supervisors. On May 17, 2013 LAF presented information at the Senior Expo in Lompoc which was attended by over 300 people.

**TRAINED TESTERS CONDUCTED RANDOM FAIR HOUSING TESTING OF LANDLORDS' PROPERTIES WITHIN THE CITY OF LOMPOC:**

A training workshop took place on June 12, 2013 at the LAF offices in Lompoc. All testers were trained to participate in the Fair Housing Testing program and were provided information on Fair Housing Laws and their compliance and noncompliance.

Testing forms were provided to the testers to perform their tests. The testers were trained to work as pairs or teams and were trained to visit realty/apartment managers' offices separately.

Each were trained to ask about vacancies, kinds of apartments available, rental rates and which utilities/services were included, security deposits required, and what the restrictions were, if any.

**CONDUCTED RANDOM FAIR HOUSING TESTING OF SEVEN LANDLORDS' PROPERTIES OR PROPERTY MANAGEMENT COMPANIES:**

**SITES TESTED IN LOMPOC FOR 2013:**

LAF conducted Fair Housing testing in June 2013, at seven sites in the city of Lompoc.

Arbor Square Apartments	800 North "G" Street
Bay Laurel Apartments	812 W. Laurel Avenue
ERA Property Management	1000 E. Ocean Avenue
Fiesta Apartments	416 W. North Avenue
Kailani Village Apartments	220 W. North Avenue
Summerwood Apartments	705 Summerwood Avenue
Tower Property Management	307 E. Ocean Avenue

**METHODOLOGY:** The following methodology was used in the testing:

Pairs of testers visited the rental property management offices separately. Each asked about vacancies, kinds of houses/condos available for sale, available apartments, rental rates, which utilities/services were included, security deposits required, and what the restrictions were, if any.

Tests were conducted to verify compliance with the Fair Housing laws in the areas of race, ethnicity, marital status, family makeup/size, sexual orientation, and source

of income with one pair of testers being a "control" i.e., the ideal applicant (a middle-class heterosexual person, single, with a steady job.)

Testers made observations as to the appearance of the office, whether a "Fair Housing" poster was on display, and the demeanor and appearance of the agent(s).

Testers had to report on which of the following information was either requested by the agent or volunteered by the testers:

1. Price range for an apartment
2. Desired occupancy date
3. Marital status
4. Number of children
5. Location desired
6. Income
7. Spouse's income (where applicable)
8. Any pets
9. Employment
10. Employment of spouse (where applicable)
11. Debts
12. Rental References
13. Credit References
14. Current address and phone number

Testers observed the following:

1. Whether the agent recorded any of the above information and, if so, how it was recorded;
2. If the agent stated at any time that the applicant might be ineligible to rent an apartment;
3. How many apartments were volunteered as serious possibilities;
4. When a particular unit would become available;
5. Whether the agent offered to put the applicant's name on a waiting list;
6. Whether an application fee was required;
7. What the agent said about a credit check;
8. Whether the agent made any remarks that could be construed as discriminatory;
9. Whether the agent spoke positively or negatively about the apartments and the neighborhood;
10. Whether the agent mentioned anything about the lease requirements;
11. Whether the agent treated the applicant courteously.

**RESULTS:** There were no overt signs of intentional discrimination in the protected classes tested. None of our testers encountered any epithets pertaining to the protected classes, and no steering was observed. In addition, our testers did not encounter comments about the respective neighborhoods where the units were located. Thus, no degree of discrimination, overt or unintentional, manifested in our tests.