

DOCUMENT A (Continued)

DOCUMENT A

TRANSPORTATION DEVELOPMENT ACT CLAIM FORM
 (See "How to Complete Document A" and 21 Cal. Code of Reg. Section 6630)

Line

1 Project Year 2010-11
 2 Claimant City of Lompoc
 3 Address 100 Civic Center Plaza, Lompoc, CA 93436
 4 Contact Person Richard Fernbaugh
 5 Title Aviation/Transportation Admin.
 Telephone Number 805-875-8268

6 The above named claimant hereby applies for allocations of Transportation Development Act funds for FY 2010-11 for the the purposes and in the amount(s) specified below:

	Purpose	Apportionment	Claimed
	Local Transportation Fund		
7	Regional Transportation Planning (Sec. 99262 & 99402)	\$ 25,278.00	\$ 25,278.00
8	Pedestrian & Bikeway Facilities (Sec. 99234)	\$ 25,793.00	\$ 25,793.00
9	Articles 4 & 8: <i>less amounts released to:</i>	\$ 1,238,601.00	
10	<u>SMAT for Breeze</u>	\$ 240,000.00	
11			
12			
	<i>plus amounts released from:</i>		
13	<u>SB County Contracted services</u>	\$ 513,274.00	
14	<u>SB County - Bus Purchase - 1/5, 4th Pmt</u>	\$ 20,000.00	
15	<u>SB County - Pmt for Wine Country</u>	\$ 10,000.00	
16	Article 4 Transit (Sec. 99260)		\$ 1,198,289.00
17	Article 4.5 Community Transit (Sec. 99275)		
18	Article 8a Streets & Roads (Sec. 99400a)		\$ 343,586.00
19	Article 8c Transit Contracts (Sec. 99400c)		
20	TOTAL LTF	\$ 1,592,946.00	\$ 1,592,946.00
21	Amount to be held in reserve (Sec. 6648)	\$ 60,000.00	
	State Transit Assistance		
22	Transportation Planning		N/A
23	Mass Transportation		
24	TOTAL STA		\$ -

DOCUMENT A (Continued)

25 TOTAL TRANSPORTATION DEVELOPMENT ACT CLAIM

\$ 1,592,946.00

(add lines 20 and 24)

Claimant acknowledges that payment by the County Auditor of an allocation made by the Association of Governments is subject to such monies being on hand and available for distribution and to the provision that such moneys be used only in accordance with the terms of the allocation instruction issued by the Association of Governments

26 By: _____
27 Title: City Administrator
28 Date: _____

I hereby attest to the reasonableness and accuracy of the financial statements included in Documents C, D, F, and G. (Sec. 6632)

29 Signed: _____
30 Name: Brad Wilkie
31 Title: Management Services Director

DOCUMENT C

DESCRIPTION

(See "How to Complete Document C")

PROVIDE THE FOLLOWING INFORMATION AS DETAILED IN "How to Complete Document C":

Part A: Transit System Program Information

(Section 99266, 99244, 99282, and 6623(b))

COLT coordinates service transfers with the BREEZE service by offering free transfers between the BREEZE and COLT for riders disembarking from the BREEZE onto COLT

Part B: Transit Service Contract

(Section 6630)

ATTACHED

Part C: Streets and Highways Claim Description

(Section 99401.5 and Section 6651)

Per the most recent Unmet Needs Hearings, The City of Lompoc has no unmet needs that are reasonable to meet.

Part D: Submit either 1 or 2 below as applicable:

1. Certification of Paratransit Service to Elderly and Disabled

(Claimants in transit service areas without a designated Consolidated Transportation Service Agency). The City of Lompoc hereby certifies that not less than 5% of the funds claimed for Article 4 purposes will be expended for demand responsive services for elderly and disabled individuals.

2. Documentation Required under SBCAG Resolution 90-1 Exhibit Section B

Consolidated Transportation Service Agencies submitting claims under Section 4.5 (Easy Lift Transportation, SMOOTH).

Part E: Progress to Implement Productivity Improvement Recommendations

(Section 99244)

DOCUMENT D, PART A

PROPOSED OPERATING BUDGET

(See "How to Complete Document D, Part A" and Sec. 6632)

<u>Line</u>	<u>Prior Year (FY)</u>	<u>Project Year (FY)</u>
1 Budget Year	<u>2009-2010</u>	<u>2010-2011</u>
2 Total Eligible Operating Cost	<u>\$ 2,466,588.00</u>	<u>\$ 2,356,519.00</u>
3 Estimated Fares	<u>\$ 205,943.00</u>	<u>\$ 195,438.00</u>
4 Operating Deficit (subtract Line 3 from Line 2)	<u>\$ (2,260,645.00)</u>	<u>\$ (2,161,081.00)</u>
<u>Other Operating Revenues</u>		
5 Property Taxes	<u>\$ -</u>	<u></u>
6 Local Transportation Fund	<u>\$ 585,295.00</u>	<u>\$ 610,015.00</u>
7 LTF Balance from Prior Year	<u></u>	<u></u>
8 State Transit Assistance Fund	<u>\$ -</u>	<u></u>
9 Federal Operating Assistance	<u>\$ 1,090,200.00</u>	<u>\$ 972,004.00</u>
10 Measure A	<u>\$ 104,369.00</u>	<u>\$ 30,788.00</u>
11 Other (specify): <u>Interest</u>	<u>\$ 1,000.00</u>	<u>\$ 5,000.00</u>
12 Other (specify): <u>SB County Village, & Wine Country</u>	<u>\$ 449,781.00</u>	<u>\$ 513,274.00</u>
13 Other (specify): <u>City of Buellton, Solvang & SB County</u>	<u>\$ 30,000.00</u>	<u>\$ 30,000.00</u>
14 Net Surplus/(Deficit) (subtract Lines 5-13 from Line 4)	<u>\$ -</u>	<u>\$ -</u>
TOTAL LTF FUNDS (A)	<u><u>\$ 1,035,076.00</u></u>	<u><u>\$ 1,123,289.00</u></u>

Footnotes

County of Santa Barbara LTF	\$ 449,781.00	\$ 513,274.00
SB County Measure A	\$ 84,813.00	\$ -
	<u><u>\$ 534,594.00</u></u>	<u><u>\$ 513,274.00</u></u>

DOCUMENT D, PART B

PROPOSED CAPITAL BUDGET
(See "How to Complete Document D, Part B")

<u>Line</u>	<u>Prior Year (FY)</u>	<u>Project Year (FY)</u>
15 Budget Year	<u>2009-2010</u>	<u>2010-2011</u>
<u>Capital Expenditures</u> (Itemize by Project)		
16 <u>BUS STOPS/SHELTERS</u>	\$ 124,640.00	
17 <u>SEDAN</u>	\$ 409.00	
18 <u>BUS(ES)</u>	\$ 8,162.00	\$ 225,000.00
19 <u>BUS LIFTS</u>	\$ 104,978.00	
20 <u>PLAN/ENG TRANSIT TRANSFER CNTR</u>	\$ 37,498.00	
21 <u>ENERGY EFFICIENCY PROJECT</u>	\$ 25,066.00	
22 _____		
23 _____		
24 Total Capital Expenditures (Add Lines 16-23)	\$ 300,753.00	\$ 225,000.00
<u>Capital Revenues</u>		
25 Property Taxes		
26 Local Transportation Fund (LTF)	\$ 300,753.00	\$ 45,000.00
27 LTF Reserve Account		
28 LFT Balance from Prior Year		
29 State Transit Assistance Fund (STAF)		
30 STAF Balance from Prior Year		
31 Federal Capital Assistance		\$ 180,000.00
32 Other (specify): <u>County Payment on future Bus purchase</u>	\$ 20,000.00	\$ 20,000.00
33 Other (specify): _____		
34 Other (specify): _____		
35 Other (specify): _____		
36 Total Capital Revenues (add Lines 25-35)	\$ 320,753.00	\$ 245,000.00
37 Net Surplus/(Deficit) (subtract Line 36 from Line 24)	\$ 20,000.00	\$ 20,000.00

FOOTNOTES

The surplus of \$20,000 will go towards purchasing a bus by the County in 3 years. The County wants to pay 1/5 each year of the estimated bus cost of \$100,000. The \$20,000 will be included as a reserve on Doc A. As of 6/30/10, \$60,000 are held in reserves.

DOCUMENT E
(See "How to Complete Document E")

Type of Service: Demand Response
 Fixed-Route

SYSTEM STATISTICS (See Appendix IV and Sec. 6632)		Last Year Actual FY 2008/09	Current Budgeted FY 2009/10	Next Proposed FY 2010/11
<u>EMPLOYEE STATISTICS</u> (Indicate Contractor Employees by *)	1 Operations			
	2 Maintenance	0.275	0.275	0.275
	3 General Administration	0.6375	0.6375	0.6375
	4 TOTAL (Add Lines 1-3)	0.9125	0.9125	0.9125
<u>SERVICE DESCRIPTION</u>	5 Hours of Service			
	A Weekdays	6:30 AM - 8 PM	Same	Same
	B Saturday	7 AM - 5 PM	Same	Same
	C Sunday			
	6 Number of Vehicles in Operation			
	A A.M. Peak	7	7	7
	B Midday	7	7	7
	C P.M. Peak	7	7	7
<u>FARE STRUCTURE</u>	7 Base Fare	\$1.25	\$1.25	\$1.25
	8 Student Fare	\$1.25	\$1.25	\$1.25
	9 Senior Citizen Fare	\$0.75	\$0.75	\$0.75
	10 Disabled Fare	\$0.75	\$0.75	\$0.75
	11 Other Fares			
	A Outside City Limits	\$2.00	\$2.00	\$2.00
	B Outside City Limits-Sr.	\$1.00	\$1.00	\$1.00
	C Outside Cit Limits- Disabled	\$2.00	\$2.00	\$2.00
	D			
	<u>PATRONAGE</u>	12 Revenue Passengers	165,644	105,567
13 Non-Revenue Passengers		32,414	21,715	22,000
14 Students		17,059	14,071	15,000
15 Elderly or Disabled		65,815	43,432	44,000
16 Passengers with Wheelchairs		5,464	4,821	5,000
17 Transfers		27,213	25,797	26,000
<u>SAFETY</u>		18 Roadcalls for Mechanical Failures	31	32
	19 Total Accidents	0	0	0
<u>SERVICE SUPPLIED</u>	20 Revenue Vehicle Hours	24,236.92	24,246	24,227
	21 Revenue Vehicle Miles	322,227	324,140	324,050

Type of Service: X Demand Response
 Fixed-Route

SYSTEM STATISTICS (See Appendix IV and Sec. 6632)		Last Year Actual FY 2008/09	Current Budgeted FY 2009/10	Next Proposed FY 2010/11
<u>EMPLOYEE STATISTICS</u> (Indicate Contractor Employees by *)	1 Operations			
	2 Maintenance	0.275	0.275	0.275
	3 General Administration	0.6375	0.6375	0.6375
	4 TOTAL (Add Lines 1-3)	0.9125	0.9125	0.9125
<u>SERVICE DESCRIPTION</u>	5 Hours of Service			
	A Weekdays	6:30 AM-8 PM	Same	Same
	B Saturday	7 AM-5 PM	Same	Same
	C Sunday			
	6 Number of Vehicles in Operation			
	A A.M. Peak	2	2	2
	B Midday	2	2	2
C P.M. Peak	2	2	2	
<u>FARE STRUCTURE</u>	7 Base Fare			
	8 Student Fare			
	9 Senior Citizen Fare			
	10 Disabled Fare	\$1.00	\$1.00	\$1.00
	11 Other Fares			
	A Outside City Limits	\$1.50	\$1.50	\$1.50
	B			
	C			
D				
<u>PATRONAGE</u>	12 Revenue Passengers			
	13 Non-Revenue Passengers			
	14 Students			
	15 Elderly or Disabled	19,854	18,243	18,790
	16 Passengers with Wheelchairs			
	17 Transfers			
<u>SAFETY</u>	18 Roadcalls for Mechanical Failures	20	18	15
	19 Total Accidents	0	0	0
<u>SERVICE SUPPLIED</u>	20 Revenue Vehicle Hours	7,988.79	7,900	8,000
	21 Revenue Vehicle Miles	65,692	65,600	65,700

DOCUMENT F

MAXIMUM TRANSIT ALLOCATION
(See "How to Complete Document F" and Sec. 6634)

Line MAXIMUM ALLOCATION FOR OPERATIONS

		FY 2010-2011
1	Project Year	
2	Total Operating Expense	\$ 2,356,519.00
3	Fare Revenue	\$ 195,438.00
4	Local Support - Property Tax	\$ -
5	Local Support - Other (specify) <u>Lompoc Measure A</u>	\$ 30,788.00
6	Local Support - Other (specify) <u>Interest</u>	\$ 5,000.00
7	Federal Operating Assistance	\$ 972,004.00
8	Payment for Service Outside Boundaries (Village -\$513,274, WCE - \$30,000)	\$ 543,274.00
9	TDA Operating Expense (subtract Lines 3 through 8 from Line 2)	\$ 610,015.00
10	LTF Balance from Prior Year	\$ -
11	Maximum Allocation for Operations (subtract Line 10 from Line 9)	\$ 610,015.00

MAXIMUM ALLOCATIONS FOR CAPITAL

12	Total Capital Expenses	\$ 225,000.00
13	Federal Capital Assistance	\$ 180,000.00
14	Other (specify) _____	_____
15	Other (specify) _____	_____
16	TDA Capital Expense (subtract Lines 13 through 15 from Line 12)	\$ 45,000.00
17	LTF Reserve Account Revenue	\$ -
18	LTF Balance from Prior Year	\$ -
19	STAF Balance from Prior Year	\$ -
20	Maximum Allocation for Capital (subtract Lines 17 through 19 from Line 16)	\$ 45,000.00
21	MAXIMUM TRANSIT ALLOCATION (add Line 11 and Line 20)	\$ 655,015.00

Footnotes:

Plus SB County Village	\$ 513,274.00
Plus SB County Bus purchase - 1/5 pmt	20,000.00
Plus SB County Wine Country Express	10,000.00
Total LTF	<u>\$ 1,198,289.00</u>

DOCUMENT G

FARE AND LOCAL SUPPORT RATIOS

(See "How to Complete Document G" and Sections 99268.2, 99268.3, 99268.4, 99268.8, 99268.9, 99268.17, 99268.19, 99720.1, and 99270.2)

Line BASE YEAR RATIOS (FY 78-79)

1	Total Operating Expenditures	\$ 174,956.00
2	Total Fares	\$ 26,870.00
3	Total Local Support	\$ -
4	Ratio of Fares to Total Operating Expenses	15.4%
5	Ratio of Fares plus Local Support to Total Operating Expense	15.4%

REQUIRED MINIMUM RATIOS

6	Ratio of Fares to Total Operating Expense	20%
7	Ratio of Fares plus Local Support to Total Operating Expense	20%
8	Applicable Public Utilities Code Section	99268.3
9	Applicable SBCAG Resolution	

PROJECT YEAR RATIOS

10	Total Operating Expenditures	
	(a) General Public Service	\$ 2,356,519.00
	(b) Subscription Service	
11	Operating Expenditures for Service Extensions	
12	Net Operating Expenditures (subtract Line 11 from Line 10(a))	\$ 2,356,519.00
13	Total Fares	
	(a) General Public Service	\$ 195,438.00
	(b) Subscription Service	
14	Total Local Support Interest and Measure A	\$ 35,788.00
15	Ratio of Fares to Net Operating Expense	8.3%
16	Ratio of Fares plus Local Support to Net Operating Expense	9.8%

Footnotes:

DOCUMENT H

STANDARD ASSURANCES FOR APPLICANTS

(See "How to Complete Document H")

CLAIMANT ASSURANCES: (Initial Sections which apply)

X A.

Claimant certifies that it has submitted a satisfactory, independent fiscal audit, with required certification statement, to the SBCAG and to the State Controller, pursuant to PUC 99245 and 21 Cal. Code of Regulations Section 6664 for the prior fiscal year (project year minus two). Claimant assures that this audit requirement will be completed for the current fiscal year (project year minus one).

X B.

Claimant certifies that it has submitted a State Controller's report, in conformance with the uniform system of accounts and records, to the SBCAG, and to the State Controller, pursuant to PUC 99243, for the prior year (project year minus two). Beginning with the 1979-80 fiscal year, claimant assures that this report will be audited by an independent CPA. Claimant assures that this report will be completed for the current fiscal year (project year minus one).

X C.

Claimant certifies in accordance with PUC Section 99314.5(b) that it is not precluded by any contract entered into on or after June 28, 1979, from employing part-time drivers or contracting with common carriers of persons operating under a franchise or license. Claimant further certifies that no person who was a full-time employee on June 28, 1979, shall have his or her employment terminated or his or her regular hours of employment, excluding overtime, reduced by the operator as a result of it employing part-time drivers or contracting with such common carriers.

X D.

Claimant filing claim pursuant to PUC Section 99260 certifies that (check one):

X

1. the current cost of its retirement system is fully funded with respect to the officers and employees of its public transportation system (PUC Section 99271a); or

2. the operator is implementing a plan approved by the transportation planning agency which will fully fund the retirement system for such officers and employees within 40 years (PUC Section 99271a); or

3. the operator has a private pension plan which sets aside and invests on a current basis funds sufficient to provide for the payment of future pension benefits and which is fully compliant with the requirements stated in PUC Sections 99272 and 99273.

X E.

Claimant certifies that it is in compliance with PUC Section 99264 that it does not routinely staff, with two or more persons, a vehicle for public transportation purposes designed to be operated by one person.

X F.

Claimant certifies that it is making full use of federal funds available under the Urban Mass Transportation Act of 1964, as amended in accordance with Section 6754(a)(3).

X G. Claimant certifies that this is in compliance with PUC Section 99155 that if it offers reduced fares to seniors, the same reduced rate is offered to disabled persons, handicapped persons, and disabled veterans and it honors the federal Medicare card for identification to receive reduced fares.

X H. Claimant certifies that it is in compliance with PUC Section 99155.5 regarding dial-a-ride and paratransit services being accessible to handicapped persons and that the service is provided to persons without regard to vehicle ownership and place of residence.

The undersigned hereby certifies that the above statements are true and correct.

Signature _____

Name Laurel M. Barcelona

Title City Administrator

CALIFORNIA HIGHWAY PATROL CERTIFICATION
(SEE " How to Complete Document I")

Certification from the Department of California Highway Patrol (CHP) completed within the last 13 months indicating that the operator is in compliance with Section 1808.1 of the Vehicle Code must accompany the TDA claim. TDA claims cannot be approved unless CHP certification is included. The certification will be provided by the CHP following a terminal inspection or reinspection conducted 60 days thereafter by the CHP.

Under TDA Statute 99251, allocation of funds to a transit operator claiming funds under Article 4 (PUC 99260 and 99275) cannot be made unless the certification is received with the TDA claim from the CHP indicating that the operator is in compliance with Section 1808.1 of the Vehicle Code.

Section 1808.1 of the Vehicle Code pertains to the maintenance of drivers' public records. The operator is required to request the CHP to participate in a "pull notice" system, which is "... a process for the purpose of providing the employer with a report showing the driver's current public record as recorded by the department, and any subsequent convictions, failures to appear, accidents, driver's license suspensions, driver's license revocation: